# PSC 355/555 Democratic Political Processes

Professor Bing Powell Fall 2018

(gb.powell@rochester.edu) Wednesday 14:15-16:45 Harkness 329

<u>Objective</u>. This course is designed primarily as a graduate seminar in comparative politics. Its object is to introduce the participants to the comparative study of democratic political processes. The course meets preparation requirements for this substantive subfield of the Ph.D. comprehensive examination in comparative politics. No background in comparative politics is assumed. It is appropriate as an introduction for students new to the field or as an "outside" course.

<u>Approach.</u> The comparative democratic political processes subfield focuses on choosing political leaders and making political decisions in the context of competitive elections and relative freedom of political action. We begin by discussing the meaning and measure of contemporary democracy and the nature of democratic transitions. We then turn to political parties, as key institutions linking citizens and policymakers, and policymaking institutions. The last part of the course focuses on the comparative study of individual citizens' attitudes and behavior (political culture, participation, interest groups.)

Many seminar sessions will be divided into two main parts. In one of these, we shall discuss the **starred** collective readings shown in Column 3 of the syllabus. Our discussion is designed to accomplish both substantive and methodological objectives. On one hand, we want to understand how to explain the particular substantive theme, addressing the concepts, theories and evidence presented. The readings are designed to introduce a variety of substantive topics, not to be definitive on any of them. (The unstarred readings are recommended for those interested in following up some additional aspects of these topics.) On the other hand, we want to understand strengths and limitations of various approaches to comparative analysis--cross-sectional or longitudinal comparisons, sample of countries or units, quantitative vs. qualitative measurement of variables, specification issues, causal inferences.

In the other part of the session, we shall have presentations by seminar members. These presentations are of several types, exemplifying some of the important approaches to the study of democratic processes in comparative politics. **Each seminar participant is responsible for two presentations**. They should be of two different types. Each type of presentations should be about 20 minutes long, followed by another 10 minutes of discussion. A written summary or annotated bibliography should be emailed ahead of time.

One presentation could be on a particular **comparative quantitative data set**, as suggested in Column 4. The student is responsible for examining the appropriate website and related material, downloading the data (or a subset of it), doing some simple analysis to show that the data are accessible, describing to the class the unit basis of the data, the types of variables and their measurement, and identifying some publications or papers using these data. Any special problems of limitations of the data should be noted. Feel free to find other data sets.

A second presentation could be a **replication** of an existing published political science article that

uses quantitative analysis. The student should obtain the data, reproduce (if possible) the core finding, and then conduct an additional analysis that tests the robustness of the results, possibly based on an alternative theory.

Another presentation could **focus on a specific country** and application of the general theme of the week to the politics of that country and vice versa. We would hope for a presentation that would focus on a theoretical issue and demonstrate the importance of context, or trace some particular institution or process in a case-study approach.

Another presentation could be of a research idea using **regression discontinuity** techniques. The proposal should outline the theory to be tested, the data to be used, expected results, and the plausibility of the identifying assumptions.

Grades and Responsibilities of Seminar Participants. Seminar participants are responsible for two presentations and associated written summaries/annotated bibliographies. Grades will be based on the seminar presentations and the associated written summaries (15% each), the midterm (15%), class discussion (10%) and a research paper on some aspect or problem of democratic processes (45%). Individual meetings with the instructor about the paper topic early in the semester are mandatory, no later than the middle of term. The paper topic must be approved in advance. Papers are due on the last Friday of classes for the semester, December 14. In exceptional cases, a take-home final exam may be substituted for the research paper, in which case the presentations and midterm are worth 20% each and the final is worth 30%.

<u>Availability of Materials.</u> I suggest purchasing used copies of most of these books on line. But I did order them through the bookstore also:

Achen and Bartels. Democracy for Realists. Princeton 2016.

Cox, Gary. Making Votes Count. Cambridge, 1997.

Duch and Stevenson. The Economic Voter. Cambridge. 2008.

Helmke, Gretchen. Institutions on the Edge. Cambridge 2016.

Przeworski, et al., Democracy and Development. Cambridge 2000.

Stokes, Susan, et al. Brokers, Voters and Clientelism. Cambridge 2013.

Tarrow, Sidney. *Power in Movement*. 3rd ed. Cambridge, 2011.

Tsebelis, George. Veto Players. Cambridge 2002.

Required assigned chapters in other books will be available through Blackboard, linked to the syllabus, distributed by email, or occasionally in a box in the political science lounge (Harkness 314.)

PSC 355/555 Syllabus Fall 2018

<u>Date Discussion Theme</u> <u>Collective Reading</u> (<u>Data Set Presentation</u> \*=Required Possibilities)

August 29 APSA Meetings. No class this day. Please Read Syllabus to be prepared for a full seminar next week. Also be prepared to choose your first presentation date, which must be before the midterm

Sept. 5 Democratic Concepts \* Aristotle. <u>Politics</u>. Books IV and VI http://classics.mit.edu/Aristotle/politics.3.three.html

\* Dahl, <u>Democracy & Critics</u> 1989

Ch. 6-8, 15.

\*Achen & Bartels. 2016 <u>Democracy for Realists</u>. Ch. 1-3, 5, 10, 11.

Sept. 12 Democracy: Measure and Consequence

\*Przeworski, et al. <u>Democracy</u> and <u>Development</u>, 2000, Ch.1-2-3. (**CIRI Human Rights**)

\*Graham, et al. "Safeguarding Dem." APSR 2017.

\*Haschke, "Democracy and the Human Right to the

Physical Integrity of the Person" 2014 Ch. 4, 7. Schedler, "Menu," *JoD*, Ap 2002

Sept. 19 Democratization & Development

\*Lipset, APSR 1959. (Polity IV Project: Regimes.)

\*Acemoglu, et al., "Income & Dem" AER 2008 98:3

\*Boix 2011. "Democracy..." APSR 105 (November): 809-828. Geddes, "What Do We Know?" Ann. Rev. Pol Sci, 2006 Robinson, "Democracy & Dev." Ann.Rev.Pol.Sci 2006. Rueschemeyer, et al, 1992 Capitalist Development,

Pp. 75-121, (Ch 4)

Sept. 26 Cleavages and Alignments \* Posner, "Cultural Differences," APSR Oct. 2004. \*Shayo, "Social Identity," APSR May 2009

\*Ferree, Karen"How Fluid is Fluid? Ethnic Demography and Electoral Volatility in Africa" in Chandra, Kanchan, ed. Constructivist theories of ethnic politics. Oxford University Press, 2012.

> Rogowski, Commerce & Coalitions 1989 Ch. 1 Lipset & Rokkan, <u>Party Systems & Voter Alignments</u> 1967, pp. 1-64. Wilkinson, <u>Votes & Violence</u>, 2004. Anderson, Imagined Communities 1991

Oct. 3 Coercive Processes

- \*Fearon & Laitin, "Ethnicity, Insurgency..." APSR 2003.
- \*Helmke <u>Institutions on the Edge</u>. 2016.
- \* Erdem, et al. "Backlash Protest." JCR 2017.

Cederman, et al. "Why Ethnic Groups Rebel" WP Jan 2010

Wilkinson, Votes & Violence, 2004, Ch. 1.

Oct. 10 Shaping Party Systems:

\*Riker, "Two-Party System & Duverger's Law" APSR 1982

Election Rules

\*Cox, Making Votes Count 1997, Esp. Ch 1-4,7-8,10-12,15

(A two-part discussion.)

\*Boix "Setting the Rules" APSR, 1999.

Moser & Scheiner, <u>Electoral Systems</u>, 2012. Lijphart <u>et al Electoral Systems</u>....1994

Oct 17 Party Competition & Party Systems

- \*Downs, Economic Theory of Democracy, Ch. 7-8.
- \* Meguid, "Competition..." APSR Aug 2005

\*Schofield & Sened, <u>Multiparty Dem</u>. 2006, Ch. 1,2,4, 9 Hicken, <u>Building Party Systems</u>, 2009, ch. 2,3 Sartori, <u>Parties & Party Systems</u>, 1976, Ch. 6.

Groffman, "Downs." Ann Rev 2004

#### Oct 23 TAKE HOME MIDTERM ABOUT HERE

Oct 30 Parliamentary Government Formation (Comparative Manifesto Project)

\*Laver & Shepsle, Making & Breaking Govs Chapters 4,5,7-9

\*Baron "Spatial Bargaining Theory" APSR March 1991.

\*Martin & Stevenson, "Gov Formation," AJPS, 2001

Budge et al, Mapping Policy Pref, 2001

Diermeir & Stevenson, "Cab terminations" APSR 3 2000

Laver & Schofield, Multiparty Government, 1990.

Martin & Stevenson, Effect of Incumbency, APSR 2010.

Nov. 7 Inter-Institutional

(Veto Players)

http://comparativepolitics.uni-greifswald.de/data.html

Relations \*Tsebelis, <u>Veto Players</u> Princeton 2002.

\*Samuels & Shugart, <u>Presidents, Parties & PMs</u> 2010, Ch1,2, 8.

Shugart & Carey, Presidents & Assemblies 1991.

Martin & Vanberg, Parliaments & Coalitions 2011.

Vanberg, Politics of Constitutional Review, 2005.

Nov 14 Clientelism and Corruption

(Transparency International)

\*Stokes and Dunning et al. 2013. Brokers, Voters and Clientelism.

Chapters 1, 3, 7, 8.

\*Stokes, Susan C. "Perverse accountability: A formal model of machine politics with evidence from Argentina." *American Political Science Review* 99.3 (2005): 315.

\*Kitschelt & Kselman, CPS 46. 2013

Nichter, Simeon. "Vote buying or turnout buying? Machine politics and the secret ballot." *APSR 1* (2008): 19-31.

Treisman, Daniel. "The causes of corruption: a cross-national *economics* 76.3 (2000): 399-457.

study." Journal of public

# Nov. 21 THANKSGIVING WEEK NO CLASS

# Nov.28 Comparing

### (CSES Election Studies)

Citizen Behavior

\* Duch & Stevenson, <u>The Economic Voter</u> 2008, Ch. 1-4,7,9

(Partisanship, Economic voting,

\* Achen & Bartels, <u>Democracy for Realists</u> 2014. esp ch 4,7-9,10 \* Huber, John D., and Pavithra Suryanarayan. "Ethnic Inequality

Cleavages
Participation)

and the Ethnification of Political Parties." World Politics 68.01

(2016): 149-188.

Quinn, "Voter Choice..." AJPS 1999 43:4

Converse & Pierce, Repres in France, 1986, Ch. 3,4,7 Samuels, "Presidentialism & Eco Voting" *APSR*, Aug 2004. Verba, Nie, Kim Participation & Equality. 1978, Ch. 3-4

# Dec 5 Political Culture and Political Movements

\*Norris & Inglehart CS 2002 (World Values)

\*Tarrow, Power in Movement, Cambridge 2011.

\*Tabellini, "Culture & Institutions" J of EEA June 2010.

Inglehart, <u>Culture Shift</u>, 1990, Intro, Ch. 1-2 Lohmann, "Signaling Model," *APSR* (2) 1993.

Putnam Making Democracy Work 1993

# Dec. 12 Responsiveness and Representation

\*Powell, Elections as Instruments 2000, ch 1,2

\* Powell, Ideological Representation 2019.

\*Thompson, et al. "Promise-Keeping." AJPA 2017. Stokes, <u>Mandates & Democracy</u>, 2001, Ch. 1. Pettersson-Lidbom "Do Parties Matter?" *JofEEA* S Kraymon & Posner "Who Benefits..." POP 2013

Papers are due on Friday December 14.