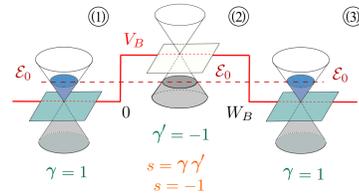


ABSTRACT

- investigate tunneling and transport properties of Dirac electrons dressed by a linearly-polarized, off-resonance, high-frequency dressing field
- employ Floquet-Magnus perturbation theory to obtain the energy dispersion relation and dressed electron wave functions
- illustrate how features of the anomalous Klein paradox, *i.e.*, a complete, asymmetrical electron transmission, which is independent on the barrier height or width, is modified by the anisotropic energy dispersion caused by the applied dressing field
- investigate the current strength and its dependence on the asymmetry introduced by Klein tunneling in graphene and dice lattice sheets
- predict a decrease in transmission current when the Klein transmission peak is located at a larger angle
- expect larger transmission current in the dice lattice than in graphene due to a much broader Klein tunneling peak in the former system
- anticipate useful transport properties for the design of electronic and optical devices and electronic lenses in ballistic-electron optics

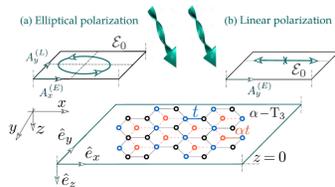
ELECTRON TUNNELING THROUGH A SQUARE POTENTIAL BARRIER

- an incident electron with kinetic energy \mathcal{E}_0 tunnels through a rectangular potential barrier
- barrier height V_B is chosen such that $0 < \mathcal{E}_0 < V_B$
- electron-hole-electron transitions occur between the two barrier edges $x = 0$ and $x = W_B$
- $\gamma = +1$ (or -1) refers to the Fermi energy located within the upper (lower) Dirac cone
- the unit of energy for \mathcal{E}_0 and V_B is the Fermi energy $E_F^{(0)}$
- the unit of length for W_B is $1/k_F^{(0)}$
- $k_F^{(0)} = \sqrt{\pi n_0}$ is the Fermi wave number
- $E_F^{(0)} = \hbar v_F k_F^{(0)}$ with v_F the Fermi velocity and n_0 the areal doping density



Rectangular potential barrier,
 $V(x) = V_B \Theta(x)\Theta(W_B - x)$, with
 $\Theta(x)$ the Heaviside unit step function.

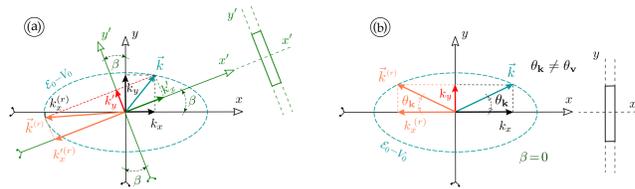
PHOTON-DRESSED ELECTRONIC STATES



α - \mathcal{T}_3 lattice in the xy -plane irradiated with (a) elliptically and (b) linearly polarized off-resonance optical dressing fields. \mathcal{E}_0 is the amplitude of the incident light's electric field component.

- components of the wave vector \mathbf{k} and anisotropic energy dispersion of an α - \mathcal{T}_3 lattice under linearly polarized irradiation
- frame $\{x, y\}$ is associated with the long-axis \hat{x} of elliptical energy

- dispersion
- frame $\{x', y'\}$ is associated with the normal-direction \hat{x}' to the potential barrier
 - frames connected by an in-plane rotation angle β
 - wave vector components $k_{x'}$ and $k_{y'}$ are given in the $\{x', y'\}$ frame since they are related to the direction of incoming electrons
 - spinor angle θ_s and group velocity angle θ_v is defined in the $\{x, y\}$ frame, corresponding to the two axes of elliptical energy dispersion
 - \mathbf{v}_G and \mathbf{k} are generally not aligned ($\theta_k \neq \theta_v$) and the panels (a), (b) correspond to $\beta \neq 0$ and $\beta = 0$



TRANSMISSION COEFFICIENT AND TUNNELING CONDUCTIVITY

- an approximate expression for the electron transmission through a high potential barrier $V_B \gg \mathcal{E}_0$ is

$$T_0(\mathcal{E}_0, \theta_{\mathbf{k}}^{(1)} | \beta = 0) \approx \frac{\cos^2 \theta_{\mathbf{k}}^{(1)}}{1 - \cos^2(k_x^{(2)} W_B) \sin^2 \theta_{\mathbf{k}}^{(1)}}$$

- Klein paradox with complete transmission and zero reflection is always present for head-on collisions, when $\theta_{\mathbf{k}}^{(1)} = 0$
- other resonances of unimpeded tunneling exist, corresponding to $k_x^{(2)} W_B = \pi \times \text{integer}$
- secondary peak locations depend on the barrier width W_B and the longitudinal wave number $k_x^{(2)}$ within the barrier region
- the longitudinal wave number is determined by a relation connecting the kinetic energy \mathcal{E}_0 of incoming particles and the barrier height V_B
- the tunneling conductivity is

$$\sigma(\mathcal{E}_0, \theta_{\mathbf{k}}^{(1)} | \beta) = \frac{k_F W_B}{\pi} \int_{-\pi/2}^{\pi/2} d\theta_v T_0(\mathcal{E}_0, \theta_{\mathbf{k}}^{(1)} | \theta_v, \beta) \cos(\theta_v)$$

DICE LATTICE WAVE FUNCTIONS IN THE SQUARE BARRIER REGION

- Region 1

$$\Psi_{\gamma}^{(1)}(\lambda_0, \mathbf{k}) = \frac{1}{4} \exp(ik_{x'}^{(1)} x') \exp(ik_{y'} y') \begin{bmatrix} e^{-i\theta_s^{(1)}} \\ \sqrt{2} \gamma \\ e^{i\theta_s^{(1)}} \end{bmatrix} + \frac{r}{4} \exp(ik_{x'}^{(1,r)} x') \exp(ik_{y'} y') \begin{bmatrix} e^{-i\theta_s^{(1,r)}} \\ \sqrt{2} \gamma \\ e^{i\theta_s^{(1,r)}} \end{bmatrix},$$

where $\gamma = +1$ for electrons and -1 for holes and $\theta_s(\mathbf{k} | \lambda_0)$ is the spinor angle

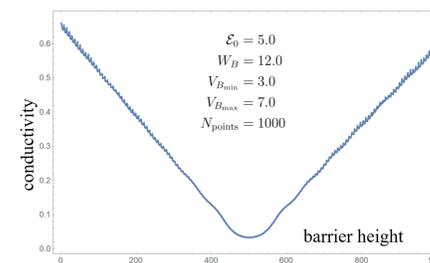
- Region 2

$$\Psi_{\gamma'}^{(2)}(\lambda_0, \mathbf{k}) = \frac{a}{4} \exp(ik_{x'}^{(2)} x') \exp(ik_{y'} y') \begin{bmatrix} e^{-i\theta_s^{(2)}} \\ \sqrt{2} \gamma' \\ e^{i\theta_s^{(2)}} \end{bmatrix} + \frac{b}{4} \exp(ik_{x'}^{(2,r)} x') \exp(ik_{y'} y') \begin{bmatrix} e^{-i\theta_s^{(2,r)}} \\ \sqrt{2} \gamma' \\ e^{i\theta_s^{(2,r)}} \end{bmatrix},$$

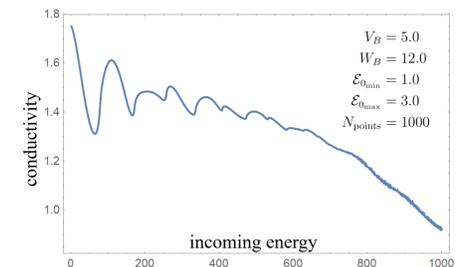
- Region 3

$$\Psi_{\gamma}^{(3)}(\lambda_0, \mathbf{k}) = \frac{t}{4} \exp(ik_{x'}^{(1)} x') \exp(ik_{y'} y') \begin{bmatrix} e^{-i\theta_s^{(1)}} \\ \sqrt{2} \gamma \\ e^{i\theta_s^{(1)}} \end{bmatrix}.$$

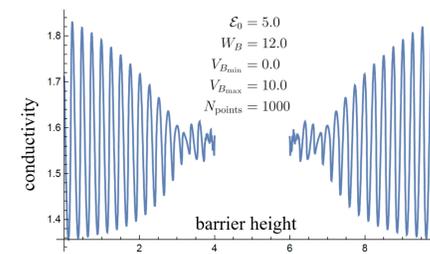
CURRENT VS. BARRIER HEIGHT AND CURRENT VS. INCOMING ENERGY



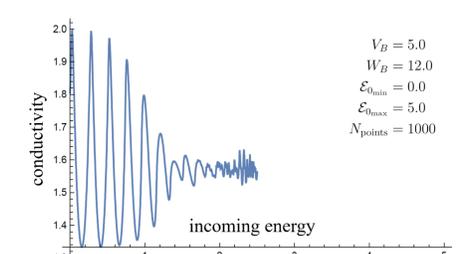
analytical result



analytical result



numerical result



numerical result

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