

PSC 355/555 Democratic Political Processes

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Tuesday 12:30-3:15. Harkness 112

Objective. This course is designed primarily as a graduate seminar in comparative politics. Its object is to introduce the participants to the comparative study of democratic political processes. The course meets preparation requirements for this substantive subfield of the Ph.D. comprehensive examination in comparative politics. No background in comparative politics is assumed. It is appropriate as an introduction for students new to the field or as an "outside" course.

Approach. The comparative democratic political processes subfield focuses on choosing political leaders and making political decisions in the context of competitive elections and relative freedom of political action. We begin by discussing the meaning and measure of contemporary democracy and the nature of democratic transitions. We then turn to political parties, as key institutions linking citizens and policymakers, and policymaking institutions. The last part of the course focuses on the comparative study of individual citizens' attitudes and behavior (political culture, participation, interest groups.)

Many seminar sessions will be divided into two main parts. In one of these, we shall discuss the **starred** collective readings shown in Column 3 of the syllabus. Our discussion is designed to accomplish both substantive and methodological objectives. On one hand, we want to understand how to explain the particular substantive theme, addressing the concepts, theories and evidence presented. The readings are designed to introduce a variety of substantive topics, not to be definitive on any of them. (The unstarred readings are recommended for those interested in following up some additional aspects of these topics.) On the other hand, we want to understand strengths and limitations of various approaches to comparative analysis--cross-sectional or longitudinal comparisons, sample of countries or units, quantitative versus qualitative measurement of variables, specification issues, and so forth.

In the other part of the session, we shall have presentations by seminar members. These presentations are of two types, exemplifying two of the major approaches to the study of democratic processes in comparative politics. **Each seminar participant is responsible for one presentation of each type.** One presentation will be on a particular **comparative quantitative data set**, as suggested in Column 4. The student is responsible for examining the appropriate website and related material, downloading the data (or a subset of it), doing some simple analysis to show that the data are accessible, describing to the class the unit basis of the data, the types of variables and their measurement, and identifying some publications or papers using these data. Any special problems or limitations of the data should be noted. The other presentation will **focus on a specific country** and application of the general theme of the week to the politics of that country and vice versa. We would hope for a presentation that would focus on a theoretical issue and demonstrate the importance of context, or trace some particular institution or process in a case-study approach. Each type of presentations should be about 20 minutes long, followed by another 10 minutes of discussion. A written summary or annotated bibliography is to be handed out.

Grades and Responsibilities of Seminar Participants. Seminar participants are responsible for two presentations and associated written summaries/annotated bibliographies. There will also be a midterm examination. Grades will be based on the seminar presentations and the associated written summaries (15% each), the midterm (15%), class discussion (10%) and a research paper on some aspect or problem of democratic processes (45%). Individual meetings with the instructor about the paper topic early in the semester are mandatory, no later than the midterm. The paper topic must be approved in advance. Papers are due on the last day of classes for the semester, Friday, December 12 . In exceptional cases, a take-home final exam may be substituted for the research paper, in which case the midterm is worth 30% and the final is worth 30%.

Additional or Alternative Topics. In a small seminar it is easy to alter the topics for later weeks in response to class interest or to avoid overlap with work previously taken. If you find that your favorite theme or work is missing from the following syllabus, don't hesitate to raise the possibility of making a change to incorporate it. (Note that at the end we have one suggestion for another topic that is might be of interest to some.). If you find we're reading something you've done to death in another seminar, we can switch. Moreover, while the starred items are the ones that seemed to me more interesting, it's easy to switch to some of the recommended items if the class would rather discuss these.

Availability of Materials. The following books are available for purchase in the bookstore. (However, they may be available more cheaply as used copies on line.):

- Cox, Gary. *Making Votes Count*. Cambridge, 1997.
- Dahl, Robert. *Democracy and Its Critics*. Yale, 1989.
- Duch and Stevenson. *The Economic Voter*. Cambridge. 2008.
- Laitin, David. *Hegemony and Culture*. Chicago, 1986.
- Laver and Shepsle, *Making and Breaking Governments*. Cambridge 1996.
- Martin and Vanberg. *Parliaments and Coalitions*. Oxford 2013.
- Powell, G. Bingham. *Elections as Instruments of Democracy*. Yale, 2000.
- Tarrow, Sidney. *Power in Movement*. 3rd ed. Cambridge, 2011.
- Tsebelis, George. *Veto Players*. Cambridge 2002.

Required assigned chapters in other books will be available through Blackboard, linked to the syllabus, distributed by email, or occasionally in a box in the political science lounge (Harkness 314.)

PSC 355/555 -		Syllabus	Fall 2014
<u>Date</u>	<u>Discussion Theme</u>	<u>Collective Reading</u> *=Required	<u>(Data Set Presentation Possibilities)</u>
Sept. 2	Course Organization	Syllabus	
Sept. 9	Democratic Concepts	* Dahl, <u>Democracy & Critics</u> 1989 Esp. Ch. 4-12, 15 *Sabl, 2014. "Two Cultures of Democratic Theory" *Achen & Bartels. 2014 <u>Democracy for Realists</u> . Ch. 9 ("Pitfalls of Populism"; Ch 2 rec.) Collier & Levitsky, "Dem" <i>WP</i> (49.3) 1997	(* Freedom House)
Sept. 16	Democracy: Measure and Consequence	*Przeworski, et al. <u>Democracy and Development</u> , 2000, Ch.1-2 *Epstein et al., "Democratic Transitions," <i>AJPS</i> , July 2006. *Haschke, "Democracy and the Human Right to the Physical Integrity of the Person" 2014 Ch. . Schedler, "Menu," <i>JoD</i> , Ap 2002 Howard and Roessler, "Liberalizing..." <i>AJPS</i> 2006. Poe, Tate, Keith "Human Rights" <i>ISQ</i> 1999 Brownlee, <u>Authoritarianism in an Age of Democratization</u> . 2007.	(* CIRI Human Rights)
Sept. 23	Democratization & Development	*Lipset, <i>APSR</i> 1959. *Robinson, "Democracy & Dev." <i>Ann.Rev.Pol.Sci</i> 2006. *Acemoglu, et al., "Income & Dem" <i>AER</i> 2008 98:3. *Boix 2011. " <u>Democracy...</u> " <i>APSR</i> 105 (November): 809-828. Rueschemeyer, et al, 1992 <u>Capitalist Development</u> , Pp. 75-121, (Ch 4) Geddes, "What Do We Know?" <i>Ann. Rev. Pol Sci</i> , 2006 Acemoglu & Robinson, "Why Did the West?" <i>QJE</i> Nov. 2000. Acemoglu & Robinson, <u>Economic Origins</u> 2005. Boix and Stokes "Endog Dem" <i>WP</i> 2003 Houle "Ineq & Dem." <i>World Politics</i> Oct. 2009 Huntington, <u>Third Wave</u> , 1991.	(* Polity IV Project: Regimes.)
Sept. 30	Cleavages and Alignments	* Rogowski, <u>Commerce & Coalitions</u> 1989 Ch. 1 * Posner, "Cultural Differences," <i>APSR</i> Oct. 2004. * Shayo, "Social Identity," <i>APSR</i> May 2009 * Sambanis and Shayo "Social Identification and Ethnic Conflict" <i>APSR</i> May 2013.	(* Alesina, Fractional Minorities At Risk)

Lipset & Rokkan, Party Systems & Voter Alignments 1967, pp. 1-64.

Birnie, Ethnicity & Electoral Politics 2007, esp. ch.7.

Chandra, Why Ethnic Parties Succeed. 2004.

Wilkinson, Votes & Violence, 2004.

Varshney, "...Ethnic Conflict," CP 1997

Anderson, Imagined Communities 1991

Chandra, "Cumulative" APSA-CP 2001

Kitschelt, Post-Communist Party

Systems, Ch. 3.

Oct. 7 Shaping Party Systems:
Election Rules

*Riker, "Two-Party System & Duverger's Law" APSR 1982.

*Cox, Making Votes (***Matt Golder's Dataverse**)
Count 1997, Esp. Ch 1-4,7-8,10-12,15

*Boix "Setting the Rules" APSR, 1999.

Forum of Kreuzer, Cusak, Boix "PR" APSR May 2010.

Crisp, et al., "Vote-Seeking Incentives," JOP 2004

Hicken, Building Party Systems, 2009, ch. 2,3

Moser & Scheiner, Electoral Systems, 2012.

Lijphart et al Electoral Systems...1994

Chhibber & Ken Kollman, "Party Agg." APSR 1998

Mainwaring and Scully, 1995, ch. 1

Powell, Cont Dem. Ch. 5

Kitschelt, Radical Right, 1995.

Golder, "Explaining...Rad Right" "CPS 2003

Oct. 14 FALL BREAK

NO CLASS

Oct. 21 Comparing
Citizen Behavior
(Partisanship,
Economic voting,
Cleavages/issues,
Participation)

* Quinn, "Voter Choice..." AJPS 1999 43:4 (***CSES Studies**)

* Duch & Stevenson, The Economic Voter 2008, Ch. 1-4,7,9

* Achen & Bartels, Democracy for Realists 2014. esp ch 4,6,7-8,10

*Fortunato, Lin, Stevenson "Political Knowledge in Coalition
Democracies." 2014 ms.

Converse & Pierce, Repres in France, 1986, Ch. 3,4,7

Dalton and Anderson, Citizens, Context Choice, 2011.

Samuels, "Presidentialism & Eco Voting" APSR, Aug 2004.

Franklin, Voter Turnout & Dynamics 2004.

Verba, Nie, Kim Participation & Equality. 1978, Ch. 3-4

Inglehart "Changing", Dalton 1984, Ch. 2.

- (*Duke Political Accountability Project)
- Oct. 28 Party Competition & Party Systems
- *Downs, Economic Theory, Ch. 7-8. (*Manifesto Data)
 - * Cox, “Centripetal” *AJPS* 1990
 - * Meguid, “Competition...” *APSR* Aug 2005
 - *Schofield & Sened, Multiparty Dem. 2006, Ch. 1,2,4, 9?
 - *Kitschelt & Kselman, *CPS* 46. 2013
 - Adams & Somer-Topcu “Policy Shifts..” 2009 *BJPS* 39:825-846
 - Schofield et al “Multipty Compet” *Public Choice* 1998
 - Sartori, Parties & Party Systems, 1976, Ch. 6.
 - Groffman, “Downs.” *Ann Rev* 2004
 - Adams, et al, Unified Theory 2005.
 - Budge et al, Mapping Policy Pref, 2001
- Nov. 4 Government Formation and Stability (Parliamentary)
- *Laver & Shepsle, Making & Breaking Govs Cam 1996 Chapters 4,5,7-9 (*Martin data set)
 - *Baron “Spatial Bargaining Theory” *APSR* March 1991.
 - * Diermeir & Stevenson, “Cab terminations” *APSR* 3 2000
 - *Martin & Stevenson, “Gov Formation,” *AJPS*, 2001
- Laver & Schofield, Multiparty Government, 1990.
- Martin & Stevenson, Effect of Incumbency, *APSR* 2010.
- Warwick/Laver-Shepsle. “Gov Survival” *BJPS* Apr1999
- Lupia and Strom,
- Bowler, Pty Discipline, Ch. 1,2
- Strom, *et al.* Cabinets and Coalition Bargaining, 2008.
- Kalandrakis “Theory of Minority ... Governments.” *Political Science Research and Methods*. 2014.
- Diermeir & Merlo, “Coalition Barg.” *JPubE* 2004 783-797
- Diermeir et al “Structural model gov form” *Econometrica* 2003
- Laver “Government Termination,” *Ann Rev* 2003
- Volder & Carrubba, “Oversize Coalitions” *AJPS* 2004

TAKE-HOME MIDTERM EXAMINATION ABOUT HERE. EXACT DATE BY NEGOTIATION

- Nov. 11 Legislative and Executive Processes
- *Huber, Rationalizing Parl., (*Transparency Int.) Intro, Ch. 5- 7 (*World Bank Govern.)
 - *Strom, Minority Government, Ch. 6, 7
 - * Martin & Vanberg, Parliaments & Coalitions 2011
 - *Diermeir & Feddersen, “Cohesion” *APSR* sept 1998

Laver & Shepsle, Cab. Ministers, Ch. 1, 10, 17
 Carey Legislative Voting & Accountability 2009
 Tsebelis, Veto Players & Law Prod.” *APSR* 1999.
 Martin “Gov Agenda” *AJPS* July 2004
 Mattson & Strom “Committees” in Doering, 1994.
 Triesman, “Corruption,” *JPE* 2000.
 Huber & Shipan, Deliberate Discretion 2002

- Nov. 18 Inter-Institutional Relations
<http://comparativepolitics.uni-greifswald.de/data.html>
 *Tsebelis, Veto Players Princeton 2002. (***Veto Players**)
 *Helmke “Institutions on the Edge”. 2012 ms.
 *Samuels & Shugart, Presidents, Parties & PMs 2010 ch ?.
 Dahl, ch. 10-11.
 Tsebelis, “Veto Players,” BJPS 1995.
 Shugart & Carey, Presidents & Assemblies 1991.
 Morgenstern & Nacif, Leg. Politics in LA, 2001
 Linz “Perils of Pres” *JoD* 1990
 Lijphart, Patterns of Democracy, 1999.
 Carey & Shugart, Exec Decree Authority, Ch 1,10
 Vanberg, Politics of Constitutional Review, 2005.
- Nov. 25 Political Culture: Citizen Values (Procedural, Substantive)
 *Norris & Inglehart *CS* 2002 (***World Values Studies**)
 * Tabellini, “Culture & Institutions” *J of EEA* June 2010.
 *Inglehart, Culture Shift, 1990, Intro, Ch. 1-2
 *Kitschelt, Transformation of Social Democracy, 1994, Ch. 1,2.
 *Laitin, Hegemony & Culture, 1986 esp. Ch. 1, 4, 6, 8 & appendix
 Dalton, et al. “Understanding Democracy,” *JoDem* Oct 2007
 Bratton, Public Opinion...in Africa. 2005.
 Canache, “Meaning...” *POQ* 2001
 Putnam Making Democracy Work 1993
- Dec. 2 Citizens, Groups, Action
 *Tarrow, Power in Movement, Cambridge 2011.
 *Battaglini, Nunnari, Palfrey, “Dynamic Free Rider Problem: Laboratory Study” ms. 2012.
 *Lohmann, “Signaling Model,” *APSR* (2) 1993.
 *Keck & Sikkink, Activists Beyond Borders 1998,
 Ch. 1,3
 Putnam, “Concl.” In Dem in Flux 2002
 Howard, Weakness of Civil Society in EE 2003, Ch. 4

Della Porta, Social Movements 1995.
Gurr, Minorities at Risk 1993

Dec. 9 Responsiveness
and Representation

- *Powell, Elections as Instruments 2000, esp. ch 1,2
6, 7-10
- * Stokes, Mandates & Democracy, 2001, Ch. 1.
- *Pettersson-Lidbom “Do Parties Matter?” *JofEEA S* 2008

Cox, Making Votes Count, Ch. 12

Blais in *AJPS*

Thompson, et al. “Promise-Keeping.” *APSA* 2010.

Kang and Powell “Rep and Policy Respon.” *JOP* Oct
2010

Warwick, “Voters, Parties, Declared Policy” *CPS* 44
Dec 2011

Kraymon & Posner “Who Benefits...” *POP* 2013

Powell, “Representation in Context: Election Laws
and Ideological Congruence” *POP* March 2013

Vowles, “Introducing PR in New Zealand,” *PA* 2000

Papers are due on Friday Dec. 12 (last day of classes in the college.)

Substitution topics – for one of above:

Coercive Processes

- * Kalyvas, Logic of Violence in Civil War. 2006.
- *Cederman, et al. “Why Ethnic Groups Rebel” *WP* Jan 2010
- *Wilkinson, Votes & Violence, 2004, Ch. 1.
- *Fearon & Laitin, “Ethnicity, Insurgency...” *APSR* 2003.
Poe, Tate, Keith “Human Rights” *ISQ* 1999
- Birner, Ethnicity & Electoral Politics 2007, esp. ch.7.
“Elections w/o Dem,” *JoD*, Ap 2002
- Huntington, Third Wave, 1991, Ch. 5.
“Quality of Democracy,” *JoD*, Oct 2004
- Cheibub, Presidentialism.... 2006 , Ch. TBA.
- Londregan & Poole, “Poverty, Coup Trap...” *WP*, Jan 1990.
- McGowan, “African Mil. Coups,” *J Mod African Studies*, 2003.
- “Coups” in Acemoglu & Robinson, Economic Origins 2006, Ch.
Enders & Sandler, Political Economy of Terrorism. 2005.

Interest Group
& Policymaking
Systems

- *Lehmbruch, “Concertation”
in Goldthorpe 1984
- *Wallerstein & Western, “Unions”

Ann Rev 2000

*Molina & Rhodes “Corporatism”

Ann Rev 2002

*Hellman, “Winners....” *WP* 1998

Siaroff “Corporatism” *EJPR* 1999

Lohman, “An informational rationale for the
power of special interests,” 1998

Gilens *Affluence and Influence: Economic Inequality
and Political Power in America* (Princeton 2014.)