Hydro-Electric Power

Hoover Dam (near Las Vegas, NV) Arch-gravity dam. Black Canyon/Colorado River. 2.1 GW, (4-10)TWh, construction 1931-1936, 5y, $49M(1930) \rightarrow 750M$, >>100T

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Reading Assignments A&J Ch. 4, 5.1-5.7 LN 3.2

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The Hydrological Cycle



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Hydroelectric Plant Reservoir/Dam Architecture

High-head dam: Grande Dixence (Val des Dix, Switzerland), 285 m high dam, collects melt from Alpine glaciers



Buttress dam, external structural support braces.





Columbia River Basin

Map showing the Columbia River Basin with its major tributary the Snake River. Large mainstem dams are noted on the lower Snake and Columbia Rivers. Shaded areas indicate historic salmon spawning areas now blocked by dams

Columbia, Colorado and other rivers have multiple dams, hydroelectric power, flood control, and irrigation.

Infringement on local habitat, culture/way of living has been resisted. Similar public issues in Europe, South America.



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Lake Mead Water Level: Recent History



Hoover Dam with Lake Mead Reservoir



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Schematics: Hydro-Electric Power Plant

Conversion gravitational potential \rightarrow electric power



Flow m॑ = dm/dt

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Types of Hydro-Electric Dams



reservoir dam turbine penstock

high reservoir dam penstock turbine Hydro dams are classified according to head height or construction.

Low-head (10-25 m) dams have low water pressure → need large flow volumes (high kinetic energy) Installed at slow moving rivers, "run-of-the-river" Construction: barrage/embankment type, locks, fish ladders.

Intermediate-head dams have high water pressure

 \rightarrow need smaller flow volumes.

Installed at river valleys/canyons, fed from very large artificial reservoirs created by flooding extensive areas, reliable power provider, if sufficient precipitation/snow & ice melting occurs. Construction: arch, gravity, buttress types.

High-head dams have reservoirs located high above power plant, → work with small flow volumes but at high water pressures (high potential energy).

Installed in mountainous regions, long penstock tubes,

Construction: gravity/arch types.

Accommodating Wildlife: Fish By-Pass Ladders



Some low-head dams have installations providing passage for fish to upstream spawning areas or fish hatcheries. Not available at large dams → effect on habitat/fisheries.

Fluid Dynamics

Turbine Technology

11

W. Udo Schröder, 2017

per 5').

typical gradients < 20% (1' elevation

Energy Transport: Ideal Fluid Dynamics Laws



W. Udo Schröder, 2024

Gravitational Flow Energy Conversion

Apply *Bernoulli Equation* to flow of water with gravitational energy $\Delta V_{pot} = V_{pot} = m \cdot g \cdot h$



In free fall through potential difference $\Delta V_{pot} = m \cdot g \cdot h$, no static "backup" pressure differential $(p=0) \rightarrow$ "jet"

$$E_{kin} per \Delta V \rightarrow (1/2) \rho_m u^2 = \rho_m \cdot g \cdot h \rightarrow \mathbf{u} = \sqrt{2g \cdot h}$$

If stream with velocity u exits through area A, \rightarrow Volume flow rate $\dot{Q} := \dot{V} = dV/dt$ and power P =

$$\dot{Q} = \frac{dV}{dt} = A \cdot u = A \cdot \sqrt{2 \cdot g \cdot h} \quad [] = Volume/Time$$

$$P = \dot{Q} \cdot (\rho_m \cdot g \cdot h) \approx 45 \cdot A \cdot h^{3/2} \ kW$$

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$$P = \dot{Q} \cdot (\rho_m \cdot g \cdot h) \approx 45 \cdot A \cdot h^{3/2} \ kW \quad \text{Rule of Thumb}$$

$$\int \frac{dM}{dt}$$

Example: Head at h=175 m, diameter of penstock $d=3 m (A = \pi \cdot (d/2)^2 = 2.41 m^2)$ $u = \sqrt{2 \cdot g \cdot h} = \sqrt{2 \cdot 9.81 \cdot 175} \frac{m}{s} = 58.6 \frac{m}{s}$

$$\dot{Q} = A \cdot u = 2.41 \, m^2 \cdot 58.6 \frac{m}{s} = 141.3 \frac{m^3}{s} = 1.4 \cdot 10^5 \, L/s$$

$$\rightarrow P = 251 \, MW \ (= P_{\text{max}}) \quad contained \ in \ flow$$

Power generation in turbines

Power Generation in Impulse Turbines



Angular Momentum Transfer in Reaction Turbines



Angular Momentum Transfer in Turbines



Angular Momentum Transfer in Hydro Turbines



Angular Momentum Transfer in Rxn Turbines

Gen Reaction Turbine Angular momentum to turbine (runner) by driving fluid (water)



$$\Delta \vec{L}_{\tau} = \vec{L}_{in} - \vec{L}_{out}; \text{ Torque } M = \Delta L_{\tau} / \Delta t; \text{ Power } P = M \cdot \Omega_{\tau}$$

Turbine power does not depend on many construction details but blade geometry \rightarrow maximize angular momentum transfer!

Euler's Turbine Equation

Power is maximized if fluid brings in maximum angular momentum ($\beta_{in}=0^0$) and carries no angular momentum on the way out ($\beta_{out}=90^0$) \rightarrow tangential inflow & radial outflow.

$$P_{\max}\left(r_{in}\right) = \Omega_{T} \cdot \underbrace{\rho_{m}}_{=dm/dt} \cdot r_{in} \cdot u_{in} \cdot \cos \beta_{in} \rightarrow$$

$$\dot{P}_{\max} \leq \dot{m} \cdot R \cdot \Omega_T \cdot (u_{in})_{tang}$$

 $R = injection \ radius \ for \ turbine,$ $(u_{in})_{tang} = tangential \ jet \ velocity$

High power produced by large turbines: high water inflow + tangential injection ($\beta_{in}=0^0$) + radial outflow ($\beta_{out}=90^0$).

Synchronized el. power output steered by governor circuitry controlling gate position.

Hydro Pow

Turbine Blade Arrangements



Wikipedia

Propeller turbines for low heads. Fixed blades or variable pitch. Schematics of power generation with a Kaplan turbine = high efficiency @all loads.

Francis turbine: Heads< 360 m. Guide vanes→ tangential injection → radial out flow "Runner"



Turbine Types



Hydro turbines are impact or reaction turbines.

Francis Turbine, radial flow, dia 0.5-6 m Fully submerged, horizontal or vertical modes. Axial outflow.

Popular design, versatile & useful for very different effective heads

> Pelton impact/impulse turbine, tangential flow, fixed buckets, low head, low/medium flow.



Francis & Pelton Hydro Turbines

Location: Honduras Rated Output: 12,000 kW Head: 1,214 feet (370 meters) Turbine Design: Twin Dual-Nozzle Peltons

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22

ESTS 3-3 Hvdro Power

Kaplan & Francis Hydro Turbine Rotors



Public, Wikipedia

A Kaplan turbine after 61 years of service @ Bonneville Dam (313m long, head 23m), Columbia River (US), 8 generators, Total capacity of 558.2 MW.

A Three-Gorges Dam (China) Francis turbine runner before installation.



Grand Coulee Power Plant



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U.S. Capital Cost Of New Hydropower Plants



Sources: O'Connor (2015), IIR, and internet searches

Note: The U.S. Bureau of Reclamation Construction Cost Trends composite trend index was used to adjust for inflation cost data from different years.

US Renewable Electricity Capacity/Generation 2022



Data source: U.S. Energy Information Administration, Annual Energy Review 2011 and Electric Power Monthly, February 2022, preliminary data for 2021

Vote: Includes net summer capacity of power plants with at least 1,000 kilowatts of generation capacity. Hydro includes conventional



2023 U.S. 'Renewable' Energy Consumption

quadrillion British thermal units (Btu) $\approx EJ$



U.S. Hydropower Wholesale Price



Source: FERC Form 1, EIA Wholesale Electricity and Natural Gas Market Data

W. Udo Schröder, 202

Average cost (6-8)c/kWh

2023 World Operational Hydropower Plants



Sources: Regional totals (IRENA), plant-level data for the United States (ORNL EHA Plant database 2023), plant-level data for rest of world (GlobalData). Notes: Regional totals include the full capacity from projects that have a mixture of conventional and PSH units.

World Hydro Electricity Production



Long history of hydropower use (mechanical). Now only hydro-electric applications $\rightarrow \leq 16\%$ of total electricity.

Recent increases (x 3) production mainly in China, South America. Low growth potential in OECD countries, used most resources, issues.

31

World Commission on Dams (**WCD**) (2000): Most large hydro-electric power plants emit GHG during construction & operation, amounts are "comparable to fossil fuel plants of same capacity."

Submerged organic matter decays anaerobically, producing CH_4 (instead of CO_2) \rightarrow not actually "green & renewable"/sustainable.

Direct environmental impact: human & animal habitat, wetlands, river deltas, farm irrigation, fisheries. Catastrophic accidents (T T)

Three-Gorges Dam (Yang-Tse)

18 GW **Power generation:** 26 turbines on left and right sides of dam. 2010: +6 underground turbines



Type: Concrete Gravity Dam **Cost:** Official cost US\$ 25 B (actual cost believed to be higher) **Construction:** 1993 - 2009 **Reservoir:** 660km long, 632 km². Head: 175 m.

Environmental Impact: Positive flood control. Submerged ~100 villages, displaced > 1 M persons.

Navigation: Two-way lock system became operational in 2004. One-step ship elevator.



Photo taken on July 4, 2012 shows the interior scene of the Three Gorges' underground power station in Yichang, Central China's Hubei province. [Photo/Asianewsphoto]



Hydropower Strategic Issues

- 1. Requires specific geographic topology (mountains, deep valleys)
- 2. Requires flooding of large surface area, arable soil destruction
- 3. Displacement of human settlements, culture, loss of animal habitat
- 4. Requires large amounts of water in reservoir & operation (rivers, precipitation)
- 5. Large physical plant, requires large amounts of cement, GHG emissions
- 6. Seasonal dependence of efficiency (melting snow and ice vs. annual dry periods)
- 7. Continuous evaporation of water, GHG from reservoir
- 8. Competes with agricultural, commercial, and residential demand of water
- 9. Impedes shipping and travel on rivers
- 10.Poor fatal accident record

External Global Warming Effect of Hydro Dams

Example: 1.3 GW Glen Canyon Dam, Reservoir 653 km², 300-km long "Lake Powell." Continuous emission of CH_4 from decay of submerged biomass contributes 70-80% of GWE emitted, TH=20a is not included in GWP below.

Global warming effect ($MT = metric \ ton \ CO_2 \ equivalent$) $GWE := \sum M_j \cdot GWP_j$

with M_{i} = amount of GHG_{i} , GWP_{i} = global warming potential for time horizon (TH = 20 years)

Major Construction Inputs and GWE (after 20 yr) for Glen Canyon Hydroelectric Plant^a 1.3 GW installed, CF=0.51

	totai MT	unit cost (1992 \$/MT)	total cost (1992 \$)	GHG emissions (MT of CO ₂ equiv)			
inputs				CO ₂	+ CH ₄	$+ N_2O$	= GWE
concrete	9 906 809	30 ^b	297 652 257	400 792	751	7 898	409 441
excavation (m ³)	4 711 405	na	114 839 000	3 812			3 812
turbines and turbine generator sets	na	na	65 193 084	41 725	45	249	42 019
power distribution and transformers	na	na	13 754 764	12 358	16	79	12 453
steel	32 183	385c	12 402 138	43 710	29	244	47 583
copper	90	2 368 ^c	214 167	186	0	2	188
aluminum	67	1 268°	84 804	157	0	2	159
total			503 240 216	500 000	1 000	9 000	500 000
^a Total emissions are rounded to one sign	ificant digit. M	F. metric ton: GV	VE. global warmin	a effect: na. r	not availabl	e. ^b Ref 39.	^c Ref 40.

Cost of only construction materials. Includes no labor, installation or maintenance costs. S. Pacca & A. Horvath, Environ. Sci. Technol 36, 3194 (2002)

Pro/Con: Avoided GHG Emissions @ Cost

Hydro-electric power has one of the lowest estimated GHG emissions/kWh of all contemporary energy technologies. But continuous CH₄ emission from reservoir is not included.

Estimated CO₂ equivalent needed for construction of infrastructure for a 1.3 GW wind farm is $0.5 \cdot 10^6$ t CO₂. GHG emission is (50g/kWh) 3x higher than for wind farms.



Variation in Colorado River Flow @ Hoover Dam





10% of water content supplied locally by precipitation, 90% from annual snow melt of Rocky Mountains.



36

Hydro Power

Pro/Con: Eco-Impact of Hydro Power Dams

Economic and environmental problems:

Season/weather dependence (droughts, winter snow falls, etc.), water supply to farming Land slides, wetlands, river delta, GHG emissions (World Council on Dams, Report 2000)

Grand Coulee (1942): Villages submerged, Indian culture, economy. TGD (2007): Villages flooded, (1.5 - 3) M people dislocated.



Hydroelectric Dams: Accidents in Perspective

Summary of accidents with more than five fatalities*

(1970-2008)

Energy chain	OECD		EU27		Non-OECD	
	Accidents	Fatalities	Accidents	Fatalities	Accidents	Fatalities
					2 394°	38 672
Coal	87	2 259	45	989	162	5 788
					818	11 302
					1214	15 750
Oil	187	3 495	65	1 243	358	19 516
Natural gas	109	1 258	37	367	78	1 556
Liquefied petro- leum gas	58	1 856	22	571	70	2 789
11 March 14 March	c 1 14 1 116	14	2	116	9 ^b	3 961
nyuloelectric		110	12	26 108		
Nuclear	-	-	-	-	1	31
Biofuel	2	2	=		123	323
Biogas			=	-	2	18
Geothermal	-	=	-		1	21
Wind ^d	54	60	24	24	6	6

* From the Energy-related Severe Accident Database (ENSAD); a) Coal: first line non-OECD total; second line non-OECD without China; third line China 1994-1999; fourth line China 2000-2008; b) Hydro: first line non-OECD without China; second line China; c) Note: Fatalities from the Fukushima Dailichi NPP accident in 2011 are not included in this table, but it should be noted that the accident resulted in no immediate, radiation-related fatalities; d) Wind: only small accidents.

Source: Adapted from Burgherr and Hirschberg, 2014.

→ North-African (Libya) agricultural hydro accident fatalities not included.

Employment in Renewable Energy Sector

Local jobs in the O&M of various electricity generating technologies, ordered by average size of the electricity generating facility

Technology	Jobs/MW	Average size (MW)	Direct local jobs
Nuclear	0.50	1 000	504
Coal	0.19	1 000	187
Hydro > 500 MW	0.11	1 375	156
Hydro pumped storage	0.10	890	85
Hydro > 20 MW	0.19	450	86
Concentrating solar power	0.47	100	47
Gas combined-cycle (CCGT)	0.05	630	34
Photovoltaic (PV)	1.06	10	11
Micro hydro < 20 MW	0.45	10	5
Wind	0.05	75	4

Most local employment is during installation 200MW → 500 workers

Many energy sector jobs are not co-local (engineering, design, financing, transient maintenance).

Non-specific, i.e., management, marketing, personnel can be interchanged.

Source: Harker and Hirschboeck, 2010.

Political vs economic considerations:

High labor intensity is of interest to local politics, but also constitutes disadvantage in economic competition.

Quality of the labor: higher qualification of the work-force \rightarrow longer duration of the employment \rightarrow higher long-term positive externalities.

Clean Energy Potential For Decarbonization



Solar PV, wind power and EVs reduce emissions by 6 Gt in 2030 in the STEPS relative to the pre-Paris Baseline Scenario

Stated Energy Policy Scenarios (STEPS) provides an outlook based on the latest policy settings, including energy, climate and related industrial policies.

Fin Hydro