## PSC 108/INTR 108

War in our time: The Russo – Ukrainian War 2022 - 20??

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Harkness 337 OH: Wed. 1:30–2:30 henk.goemans@rochester.edu

TA: Mark (Kai) Liu, kliu36@u.rochester.edu **Course Information:** 

Spring 2024 Tue. & Thurs. 12:30–13:45 Bausch & Lomb 106

This course examines the current war in Ukraine. This is a major war, with major implications for our lives and it is important for you to develop your own understanding of it in all its various aspects. Since this war is ongoing, readings are going to be very different from other courses. There will be links to tweets, twitter threads, blog posts, articles, YouTube lectures and any other useful sources. You are required to do all the reading. A lot of important background information you need to have will be provided in the lectures. This includes historical background about Ukraine, the end of the Cold War, the causes of war and the causes of war termination, why soldiers fight, on logistics, etc.. Attendance to lectures is therefore mandatory. If you miss these lectures you will not be able to do well on the exams. Of which there will be two, a mid-term and a final exam. Since the war is ongoing, the syllabus is likely to change during the semester, as developments on the battlefield, on the negotiation table, or the availability of new information warrants.

For this class I feel it is necessary to warn that some of the readings will directly confront you with the horrors of war. And they are truly horrors. There is just no way around this, if we want to even begin to understand war. There will be some readings with images that you may want to skip, I fully understand that, and I will try to warn you beforehand.

## Academic Integrity

Be familiar with the University's policies on academic integrity and disciplinary action (http://www.rochester.edu/living/urhere/handbook/discipline2.html#XII). Violators of University regulations on academic integrity will be dealt with severely, which means that your grade will suffer, and I will forward your case to the Chair of the College Board on Academic Honesty.

## Historical background information:

A noted above I am likely to tweak the syllabus during the semester depending on developments. The background information which I will largely provide in lectures relies in part on the following books.

- 1. Paul D'Anieri. *Ukraine and Russia; From Civilized Divorce to Uncivil War*. Second edition, Cambridge University Press, 2023.
- 2. Michael Howard. War in European History., Oxford: Oxford University Press, Paperback, 1976.
- 3. Martin Van Creveld, Supplying War: Logistics from Wallenstein to Patton. Cambridge University Press; 2nd edition, 2004.
- 4. Stephen Biddle, *Military Power: Explaining Victory and Defeat in Modern Battle*, Princeton University Press, 2005.
- 5. Michael C. Horowitz, *The Diffusion of Military Power: Causes and Consequences for International Politics*, Princeton, NJ: Princeton University Press. Princeton, NJ: Princeton University Press. 2010.
- 6. Alan Clark, *Barbarossa: The Russian-German Conflict*, 1941–45, New York: William Morrow and Co., 1985.
- 7. R. J. Overy, Russia's War, Penguin Books, New edition, 1998.
- 8. Catherine Merridale, Ivan's War; the Red Army 1939-45, Faber and Faber 2006.
- 9. Christopher Browning. Ordinary men: Reserve Police Battalion 101 and the final solution in Poland. New York: HarperPerennial, 1998.
- 10. Timothy Snyder, Bloodlands: Europe Between Hitler and Stalin, Basic Books; 1st Edition edition, 2012.
- 11. Anne Appelbaum, Red Famine, Knopf Doubleday Publishing group, 2018.
- 12. M. E. Sarotte, Not One Inch; America Russia, and the making of the post-cold war stalemate. Yale University Press, 2021.
- 13. Alexander B. Downes, *Targeting Civilians in War*, Ithaca: Cornell University Press, 2012.

Current web-based sources worth reading during the semester include:

- This site tracks the main developments of the war on an almost daily basis: https://github.com/zhukovyuri/VIINA?fbclid=IwAR2e3AXuclyYPNiZvywbiFFCg0a-97EtqAeAmvcWJY
- Here's a YouTube Channel that Jacob alerted my to: "Civ Div" who claims to be a veteran of the Marine Infantry and fought in Ukraine. (I haven't verified that yet.) Jacob notes "His videos mainly cover the experiences of the 'average' Ukrainian solder and he has some footage from his friends and himself from the conflict. His channel offers an interesting insight into the mindset of many Ukrainian soldiers and especially those who have come to fight from other countries." https://www.youtube.com/@CivDiv/videos
- Jacob also suggested a "youtube channel called Lindybeige. This channel is run by a British history buff who covers a wide range of topics, but interestingly he has interviewed a couple soldiers who have volunteered to fight in Ukraine similar to the owner previous channel I shared. He has interviewed a Canadian and British man who both fought in the war, and again offers some insights into the mindset of soldiers fighting on the Ukrainian side. The interviews can be found on his channel here:" https://www.youtube.com/@lindybeige/featured
- A third channel suggested by Jacob is "called Perun. He is an Australian who I can't find much information about. He makes 1 hour long video essays covering military strategy, industry, and the War in Ukraine. I take his videos with a very large grain of salt, but he has some very interesting videos on the war which you may find interesting. Very applicable to your class, he has a video titled "Exhaustion, Politics & The War in Ukraine Public Support, Allied Endurance & the War in 2024." Here is a link to the channel: "https://www.youtube.com/@PerunAU"
- Yale Professor Timothy Snyder's lectures for his class HIST 247 The Making of Modern Ukraine. Professor Snyder has committed to post all lectures for this class on YouTube.
  - 1. Here's a link to the first of these lectures: https://www.youtube.com/watch?v=bJczLlwp-d8.
  - 2. Here's a link to lecture #15 about the Famine, and Terror of the 1920s and 1930s: https://www.youtube.com/watch?v=1dy7Mrqy1AY
- Branislav Slantchev (UCSD prof), provides insightful commentary on his blog:
- https://slantchev.wordpress.com/category/russo-ukrainian-war-2022/.

• On Ukrainian identity: https://www.sciencenews.org/article/ukraine-identity-national

- https://twitter.com/i/events/1483255084750282753
- https://wartranslated.com/
- https://twitter.com/ChrisO\_wiki
- https://twitter.com/RALee85

- https://twitter.com/KofmanMichael
- https://twitter.com/MassDara
- https://twitter.com/TrentTelenko
- https://twitter.com/Osinttechnical
- https://twitter.com/DefMon3
- Tom Mitchell's list of people to follow (obviously, there's overlap): https://twitter.com/evolutionplants/status/1577704441528074242
- This guy is particularly fascinating: https://twitter.com/kamilkazani
- For maps of the war: https://twitter.com/EdmapsCom and also https://www.scribblemaps.com/maps/view/Operational%20Map%20Ukraine/nBT8ffpeGH
- A video dairy? https://twitter.com/OSINTua/status/1565388675545956353
- BBC's Ukraine war in maps: https://www.bbc.com/news/world-europe-60506682
- A short clip on Cyberwarfare: https://youtu.be/SooynsCBOrO
- On the recruitment of prisoners: https://twitter.com/i/status/1572141900051750915
- $\bullet \ \ {\rm On\ the\ Minsk\ II\ accords:\ https://twitter.com/neil\_abrams/status/1583459564950724608}$

#### Course Outline

### Thursday January 18

## 1. Introduction: ideas, themes and hopes for this course

## History

"The way that history is presented has an integral connection with the [HG: Putin's] decision to make war." Timothy Snyder.

Tuesday January 23

## 2. Some Ukrainian history: 1920-1945

Lecture on *Bloodlands* and *Red Famine*.

- Andrea Graziosi, Introduction to the Special Issue on the Soviet Famines of 1930-1933, *Nationalism Papers*, 48(3), 2020, 435–443.
- Andrea Graziosi, The National and the Social in Stalin's Political Famines, Contemporary European History, 27(3), 2018, 470–475.

#### Thursday January 25

# 3. Some more recent Ukrainian history: The Maidan Revolution

- Andrew Higgins and Andrew Kramer, "Ukraine's President was Defeated Even Before He Was Ousted," *The New York Times*, January 3, 2015. https://www.nytimes.com/2015/01/04/world/europe/ukraine-leader-was-defeated-even-before-he-was-ousted.html
- Serhiy Kudelia, "The Donbass Rift," Russian Politics and Law, vol. 54, no. 1, 2016, pp. 5–27.
- Volodymyr Ishchenko, "Insufficiently Diverse: The problem of non-violent leverage and radicalization of Ukraine's maidan uprising, 2013-2014." *Journal of Eurasian Studies*, 11(2), 201-215. https://journals.sagepub.com/doi/full/10.1177/1879366520928363
- Here's a great documentary, available on Netflix,
   Winter on Fire: Ukraine's Fight for Freedom, available at

https://www.netflix.com/title/80031666.

#### Tuesday January 29

## 4. Euromaidan and the Donbas

- Timur Kuran, Now Out of Never. World Politics, 44 (October 1991). 7 48.
- Debunking Russian myths about Ukraine, including the so-called "coup" in 2014: https://mkaradjis.com/2023/06/15/ukraine-myths-used-to-justify-putins-terror/?fbclid= IwAR1In09sduzlIgGj4cMSlSy2hpPesDtSiK\_bcrRynpqZu\_azE0dQd7jZKK8

## Thursday February 1

## 5. Some Russian history: Stalin and WW II

Lecture on Barbarossa, Russia's War and Ivan's War.

### Tuesday February 6

## 6. The dissolution of the Soviet Union and what came after

Lecture on Paul D'Anieri's *Ukraine and Russia*, second edition.

- Fyodor Lukyanov, "Perestroika 2014: The Reasons Behind Moscow's Firm Stance on Ukraine," Valdai Discussion Club, March 19, 2014. http://valdaiclub.com/near\_abroad/67520.html Original Russian: http://www.gazeta.ru/comments/column/lukyanov/5952017.shtml
- Prigozhin instigator of the mutiny and now dead owned the infamous mercenary group Wagner. He told Russian media that, indeed, he created Wagner in 2014 to fight in Donbas, and that its fighters, masquerading as miners and tractor operators, were key in igniting the conflict and getting victories in Donetsk and Luhansk. So much for a spontaneous uprising of the locals. https://www.youtube.com/watch?v=IXR1vBwxLQk

#### Thursday February 8

## 7. Who's to blame? It's all NATO's fault

We'll be watching

- John Mearsheimer's lectures: Variation on the same theme.
  - https://www.youtube.com/watch?v=JrMiSQAGOS4. Note the date!
  - "The Causes and Consequences of the Ukraine War: https://www.youtube.com/watch?v=qciVozNtCDM. Watch the interview, then watch the Q & A afterwards.
  - In debate format, Mearsheimer, Walt and McFaul: https://www.youtube.com/watch?v=EhgWLmd7mCo

- For an interesting academic critique see Andrew H. Kydd, You Can't Get There from Here: On the Gap between Realist Theory and "Realist" Foreign Policy, in the readings folder.
- Andy Kydd's talk at MIT "On the gap between Realist Theory and Realist Foreign Policy." https://www.youtube.com/watch?v=40w9IzONc4M

## Tuesday February13

#### 8. NATO and the end of the Cold War

Lecture on Not One Inch and Ukraine and Russia

- Was the Euromaidan a 'coup'? https://twitter.com/neil\_abrams/status/1573307576959512576
- Bucharest Summit Declaration: https://www.nato.int/cps/en/natolive/official\_texts\_8443.htm

### Thursday February 15

## 9. Who's to blame? Putin

- Primary source material (e.g., from the horse's mouth):
  - Putin's July 12, 2021 article on the unity of Russia and Ukraine: http://en.kremlin.ru/events/president/news/66181
  - Putin's speech of February 21, 2022:
     http://en.kremlin.ru/events/president/news/67828
  - Putin's speech of 24 February 2022: http://en.kremlin.ru/events/president/news/67843.
  - Mistakenly published 26 February 2022 official op-ed: https://web.archive.org/web/20220226051154/https: //ria.ru/20220226/rossiya-1775162336.html, Google Chrome will translate.
  - 30 September 2022: Putin's speech on his purported annexation of Donetsk and Lugansk people's republics and Zaporozhye and Kherson regions to Russia.
    - http://en.kremlin.ru/events/president/transcripts/69465
  - A very short 1:19 clip of Putin saying first one thing, then another about Ukraine: https://twitter.com/i/status/1574816034590265358
- Russia always wanted Ukraine.
   https://www.bbc.com/news/world-europe-66509180
- Chapter 8, 9, 10 from Paul d'Anieri, Ukraine and Russia, second edition.
- Press coverage of Putin's comments:
  - 21 February 2022: https://www.nytimes.com/2022/02/22/world/europe/putin-invading-ukraine.html

- https:
  - //www.nytimes.com/2022/02/21/world/europe/putin-ukraine.html
- https://www.atlanticcouncil.org/blogs/ukrainealert/ putins-new-ukraine-essay-reflects-imperial-ambitions/.
- Not (?) relevant to the start of the war, Putin's address authorizing partial mobilization (English subtitles):
   https://www.youtube.com/watch?v=J7QqSYBUhmY To s
- the NYT perspective of 22 February 2022: https://www.nytimes.com/2022/02/22/world/europe/putin-invading-ukraine.html

## Tuesday February 20

## 10. Analytics: What caused the war?

This is going to be an experimental class, where I solicit your explanations for what caused the war, point out strengths and weaknesses in these explanations, and together construct a couple (?) of plausible explanations.

https://novaramedia.com/2022/10/17/
no-the-west-didnt-halt-ukraines-peace-talks-with-russia/
?fbclid=
IwAR2xUw2ZVYwRG-9mJcHonHMJ3bTR0bo6q8RCvJpWKg5vozlnQCyVk1VE0M0

## Thursday February 22

## 11. The causes of war

- James Fearon, Rationalist Explanations for War, *International Organization*, Vol. 49, No. 3 (Summer, 1995).
- James Fearon, "Game theory and explanations for armed conflict," Unpublished manuscript, Stanford University, April 26, 2022. (In the Readings folder.)

### Tuesday February 27

## 12. Why do they keep fighting? The causes of war termination

An informational account:

- Hein Goemans, War and Punishment; the causes of war termination and the First World War, Chapters 1 & 2, 3–52.
- My early views: *The New Yorker*: https://www.newyorker.com/culture/annals-of-inquiry/how-the-war-in-ukraine-might-end

• Timothy Snyder: https://snyder.substack.com/p/ how-does-the-russo-ukrainian-war?utm\_campaign=auto\_share

As evidence that I have been consistent in my arguments:

- My assessment the day after the war started: https://www.rochester.edu/newscenter/putin-russia-invading-ukraine-explained-512642/. (You might have to use Microsoft Edge, I know, an abomination.)
- An early assessment by a cohort of scholars of war termination: https://www.washingtonpost.com/politics/2022/03/24/ prospects-negotiated-peace-ukraine-are-bleak/

## Thursday February 29

## 13. Why do they keep fighting? The causes of war termination

A commitment problem account:

- Alex Weisiger, Logics of War: Explanations for Limited and Unlimited Conflict, Cornell University Press, 2013. Chapters 1 & 5, pp. 11-53, 141-158. Available online from Rush Reese:
  - https://rochester.primo.exlibrisgroup.com/discovery/fulldisplay?docid=alma9978111085105216&context=L&vid=01ROCH\_INST:UR01&lang=en&search\_scope=MyInst\_and\_CI&adaptor=Local%20Search%20Engine
- Jim Fearon's Tribute to Bob Jervis, "Robert Jervis and Perspectives on the Security Dilemma."
  - file:///C:/Users/hgoem/Downloads/Fearon\_Jervis\_Tribute\_2022.pdf

#### Tuesday March 5

## 14. More wars! Armenia/Azerbaijan and Kyrgyzstan

- $\bullet$  A very good detailed timeline with lots of details:
  - https://www.crisisgroup.org/content/ nagorno-karabakh-conflict-visual-explainer
- An overview of the history before the 2023 1-day war:

file:///C:/Users/hgoem/Downloads/

TheNagornoKarabakhConflictTheBeginningoftheSovietEnd.pdf

• The death of the Nagorno-Karaback Republic (NKR):

https://eurasianet.org/

the-nagorno-karabakh-republic-the-life-and-death-of-an-unrecognized-state

#### Thursday March 7

#### 15. Mid term exam

Tuesday March 12

**Spring Break** 

Thursday March 14

**Spring Break** 

## In the War

Check out this fantastic site by Yuri Zhukov (Harvard)! It has maps and graphs and other information that tracks the whole war! https://github.com/zhukovyuri/VIINA?fbclid=
IwAR2e3AXuclyYPNiZvywbiFFCgOa-97EtqAeAmvcWJYCnUOLVOv9k8riCODg

Tuesday March 19

## 16. What were they thinking?!

- Initial expectations and initial war aims 2022
- My assessment March 9: https://www.rochester.edu/newscenter/ how-to-end-the-ukraine-war-514522/
- Thomas C. Theiner, former Italian military (28/01/2022) https://medium.com/@noclador/putins-plan-4652895de8ba
- Russian expectations (26/02/2022): https://web.archive.org/web/20220226051154/https://ria.ru/20220226/rossiya-1775162336.html, Google Chrome will translate.
- Russian expectations: https://www.washingtonpost.com/world/ interactive/2022/russia-fsb-intelligence-ukraine-war/?itid=sf\_ world\_ukraine-russia\_dont-miss
- Explicit and implicit expectations of both sides are littered throughout this article: https://www.washingtonpost.com/national-security/interactive/2022/kyiv-battle-ukraine-survival/
- Early warnings about too rosy Russian expectations. (31 January 2022) Col. General Ivashov, Chair of the All-Russia Officer's Assembly.
   http://www.ooc.su/news/obrashhenie\_obshherossijskogo\_oficerskogo\_sobranija\_k\_prezidentu\_i\_grazhdanam\_rossijskoj\_federacii/2022-01-31-79-0-1?fbclid=IwAR2W0566hu\_RGKPMENXNt5HhgikEPXUTkxTH-8LOoGNGWGctvU\_8C-SKZpI

• Another warning against too rosy expectations, Col. Mikhail Mikhailovich Khodarenok - ex-head of the group of the 1st direction of the 1st directorate of the Main Operational Directorate of the General Staff of the Armed Forces of the Russian Federation. (03/02/2022): https://nvo.ng.ru/realty/2022-02-03/3\_1175\_donbass.html War aims:

• Russian Ministry of Defence press conference (in Russian) by Rudskoy, Deputy Chief of the General Staff, where they seem to limit Russia's war aims to the "liberation of "Eastern Ukraine.""

https://www.youtube.com/watch?v=KbGDcF4ziIg
• Lavrov announces increased war aims, 21 July.

https://www.bbc.com/news/world-europe-62231936

- Ukraine's 10-point plan, March 29: https://meduza.io/en/slides/ukraine-s-10-point-plan
- Summary of changes in Russian war aims, until 29 July https://www.dw.com/en/ukraine-how-russias-war-aims-are-changing/a-62632099
- General Sergey Surovikin, 18 October, Russia wants a "friendly" Ukraine independent of the West: https://www.cnn.com/europe/live-news/russia-ukraine-war-news-10-18-22
- Russian war aims?: https://slantchev.wordpress.com/2022/08/23/the-kremlins-fairy-tales-duginas-assassination/
- First week of September, 2022. Ukrainian General Valeriy Zaluzhnyi, Commander-in-Chief of the Armed Forces of Ukraine.

  https://www.ukrinform.net/rubric-ato/
  3566404-prospects-for-running-a-military-campaign-in-2023-ukraines-perspectshtml
- David R. Marples: "Russia's war goals in Ukraine." in *Canadian Slavonic Papers*, Vol 64, Issue 2-3. 07 September 2022. https://www.tandfonline.com/doi/full/10.1080/00085006.2022.2107837
- Ukraine's war aims mid September 2022? https://www.newsweek.com/ukraine-war-goals-demands-nato-russia-lines-collapse-kharkiv-counter-attack-

#### Thursday March 21

## 17. What are they thinking now? -2024

- What experts got wrong. In other words, what
   was new information for the experts: https://warontherocks.com/2022/05/
   what-the-experts-got-wrong-and-right-about-russian-military-power/
- The "failed" Ukrainian offensive of 2023.
- Expectations and war aims in Winter 2024

## Conduct of the War

Tuesday March 26

## 18. Blitzkrieg or attrition?

• This is a good overview of the crucial battle at Hostomel airport, where the Ukrainians stopped the Russians and prevented the fall of Kyiv.

https://warontherocks.com/2023/08/

the-battle-of-hostomel-airport-a-key-moment-in-russias-defeat-in-kyiv/?fbclid=IwAR2qXaKiwix\_FmDJtjc48JsVApVFipRMiq5RUXB80fKwMkCweiZBY\_SanVs.

- Watch: "GoPro of Nlaw Gunner in Ukraine", at https://www.facebook.com/watch/?v=543461300938658, what do you see/notice? What strikes you. Note, there's no fighting and no death in this clip, but it still tells you a **lot** about this war.
- An interesting thread by Kamil Galeev, from 27 February 2022, where he describes why he think Russia will lose:

https://twitter.com/kamilkazani/status/1497993363076915204

- https://www.washingtonpost.com/national-security/interactive/ 2022/kyiv-battle-ukraine-survival/.
- Trench warfare in the age of drones:

 $\verb|https://twitter.com/i/status/1565421193104166912|, or$ 

https://www.facebook.com/watch/?v=1261158111360968

• Artillery versus tanks and apcs:

https://twitter.com/ChrisO\_wiki/status/1534168919245737991

### Thursday March 28

## 19. "Amateurs talk strategy, professionals talk logistics." – Gen Omar Bradley

Lecture on van Creveld, Supplying War

• What tires can tell you:

https://twitter.com/TrentTelenko/status/1499164245250002944

• The difference pallets make!

https://twitter.com/TrentTelenko/status/1507056013245128716

• On production of shells and materials:

https://theins.ru/en/politics/254573

• Nadin Brzesinski, 17 July: https:

//nadinbrzezinski.medium.com/logistics-collapse-945984f5d48e

• Fighting in the mud:

https://www.facebook.com/watch?v=828967234822652

### Tuesday April 2

## 20. The soldier's perspective

• John Keegan, *The Face of Battle*, Vintage books, 1977. Chapter 4, The Somme, July 1, 1916, 204–280.

Memoir of Russian soldier Pavel Filatyev. The full memoir – 144 pages – is in the readings folder, it's entitled "ZOV." I selected some memorable quotes, to begin with:

I don't know how, but I wanted all those responsible for the fuck-ups and messes in our army to be to be punished. I wasn't scared to die, I was offended to give up my life so ridiculously, I was hurt for all those who had given their lives and health for what, for whom?

- The first part of Filatyev's story, covering the six months he spent in training with the 56th Guards Air Assault Regiment in Crimea before the war, see https://twitter.com/ChrisO\_wiki/status/1560022545356791810.
- The second part, covering the period immediately before and after the invasion was launched on 24 February, is here: https://twitter.com/ChrisO\_wiki/status/1560355153441705984.
- For the third part, highlighting the haphazard and disorganised Russian takeover of Kherson in the face of even less well organised Ukrainian resistance, see

https://twitter.com/ChrisO\_wiki/status/1560725596765913089.

• The fourth part deals with Filatyev's experiences of the start of the occupation of Kherson and Russia's disastrous attempt to take Mykolaiv and Odesa:

https://twitter.com/ChrisO\_wiki/status/1561024849245573123.

• The fifth part, when Filatyev is injured, withdrawn from the front and reflects on the war.

it was at that point that I finally relaxed and thought about the last two months of my life, what it was all about, why I needed it, whether I'd done something good or bad, why I was part of it and how and why I was there in the first place.

https://twitter.com/ChrisO\_wiki/status/1561411957340659713.

• The final instalment highlights Filatyev's detailed comments on the Russian military system, which provide an interesting insider's view of what's gone wrong with the Russian army and has led to its catastrophically poor performance in the war.

The system doesn't let the most promising, strongest and the smartest advance, but [prefers] those who can adapt to it, the higher up you go, the more you have to get dirty.

In all my time in the war I can't remember officers going to the trouble of leading soldiers, many getting drunk and sitting in normal fortifications while all the crap is done by regular contract soldiers.

https://twitter.com/ChrisO\_wiki/status/1561785870163787780.

Another account from Daniil Frolkin, a different Russian soldier. He too expressed confusion about the war's purpose:

I don't know who we're fighting against. Maybe we're fighting against the Armed Forces of Ukraine. But the AFU are not Nazis.

- https://twitter.com/ChrisO\_wiki/status/1562874112707801088. Make sure you read #35.
- https://twitter.com/ChrisO\_wiki/status/1563038520821702656. Note this point about the officers, and their leadership:

So, he, the District Commander and the Army Commander were given rifles and the infantry was told: 'These men will go first and you are to follow.' And that's when [Omurbekov] was wounded.

Living conditions of officers! Lieutenant Pavel Petrakov, member of the elite Military Space Academy:

• https://twitter.com/i/status/1565080986177732608

Here's a Radio Liberty interview with Pyotr Danilov, a former sergeant of the 64th motorized rifle brigade from Khabarovsk. This is the unit that is accused of war crimes in Bucha:

• https://www.svoboda.org/a/ tupo-net-sil-nastupatj-intervjyu-s-byvshim-voennym-iz-64-y-brigady/ 32006060.html

#### Medical care

Another very important issue for individual soldiers if of course medical care after they get wounded. Tanisha Fazal has a terrific article on this:

 https://foreignpolicy.com/2022/10/31/ ukraine-military-medicine-russia-war/

#### Recruitment: Soldiers and Mercenaries

• Elizabeth M. F. Grasmeder, Leaning on Legionnaires: Why Modern States Recruit Foreign Soldiers, *International Security*, 46(1), Summer 2021, 147–195.

- The September 2022 draft: https://meduza.io/en/feature/2022/09/28/honestly-they-re-all-going-to-die-there
- More on the newly mobilized Russian soldier: https://twitter.com/MassDara/status/1587130213497978881
- Anna Becker, Shamed to Death: Social Image Concerns and War Participation. This paper is a fascinating look at how UK men were "incentivized" to show up for World War I and join the UK army.

### Thursday April 4

#### 21. Civilians

- We'll be watching the documentary, "Women: The Forgotten Face of War", by Susan Muska and Greta Olafsdottir.
- Here s a very interesting thread on Reddit, where everyday Ukrainians relay how they experience the war. (h/t Sunahra Tanvir) https://www.reddit.com/r/AskReddit/comments/u9gfgt/ukrainians\_ of\_reddit\_how\_did\_you\_find\_out\_that/
- How the war has affected Ukrainians, how many have family members or close friends who were killed or seriously wounded in the war. In Ukraine, about 70%, in Russia, about 20%.
   https://kiis.com.ua/?lang=ukr&cat=reports&id=1254&page=1&fbclid=IwAROCCZebcTPGu4N1WwLO2D-8rI92e2qpRMbW18DaOy-99TJ1ksoG62-16ak
- Ukrainian attitudes on the war: Janina Dill, Marnie Howlett, Carl Müller-Crepon, At Any Cost: How Ukrainians Think about Self Defense Against Russia," *American Journal of Political Science*, forthcoming. (In the Readings folder.)

#### Tuesday April 9

#### 22. War Crimes

- Timothy Snyder, "Russia's Genocide Handbook." https://human-rights.cmc.edu/2022/04/14/russias-genocide-handbook/?fbclid= IwAR2z1v9Mrsx5npA40JX7XFTCGD6wTlDFRXSp0BLgHzusiXYeD8DEmaJRKi8
- Timothy Snyder on The War in Ukraine and the Question of Genocide: https://snyder.substack.com/p/ the-war-in-ukraine-and-the-question?fbclid=IwAR2rBhVoHd80\_ aqiF1qvM9w8M3sm0kXEKjSHWt2EwsN\_GTZqwFSm7VtKkKQ#details
- Yonatan Lupu, Geoffrey P. R. Wallace, "Targeting and Public Opinion: An Experimental Analysis in Ukraine." *Journal of Conflict Resolution*, first published online 13 September 2022. https://journals.sagepub.com/eprint/2JTMDFVAZXUYECSXKDTV/full#.YyETKUnkzmo.twitter https://doi.org/10.1177/00220027221121139

- Julia Friedrich and Niklas Masuhr, Why is Russia being so brutal in Ukraine? *Political Violence at a Glance*, May 23, 2022. (4 pages)
- Masha Glessen, The Prosecution of Russian War Crimes in Ukraine, *The New Yorker*, August 1, 2022.
- The Guardian: Ukraine's true detectives: the investigators closing in on Russian war criminals.
  - https://www.theguardian.com/world/2022/oct/20/ ukraine-true-detectives-investigators-closing-in-on-russian-war-crimes? CMP=share\_btn\_tw
- A Frontline investigation into a prominent Russian General, Col. Gen.

  Alexander Chaiko, and his role in war crimes: https://apnews.com/article/
  russia-ukraine-kyiv-europe-middle-east-government-and-politics-e3756976cf33c
  utm\_campaign=position\_02&utm\_medium=TopNews&utm\_source=homepage
- Michael Gibilisco, Brenton Kenkel and Miguel R. Rueda, "Competition and Civilian Victimization", in *Journal of Conflict Resolution*, https://journals.sagepub.com/doi/10.1177/00220027211070621
- Bret Devereaux, What Makes Armies Commit Atrocities? The crimes in Ukraine stem from Russian military brutalities. *Foreign Policy*, April 6, 2022 (roughly 6 pages)

#### **Optional**:

- Alexander B. Downes, "Desperate Times, Desperate Measures." *International Security*, Vol. 30, No. 4 (Spring 2006), 152–195.
- Alexander B. Downes, *Targeting Civilians in War*, Ithaca: Cornell University Press, 2012.
- Downes & Kathryn Mcnabb Cochran, It's a crime, but is it a blunder? Chapter 13 in *Civilians and Warfare in World History*, New York, Routledge, 2018, 288–312.
- Christopher Browning. Ordinary men: Reserve Police Battalion 101 and the final solution in Poland. New York: HarperPerennial, 1998.
- Jonathan Steinberg, "Types of Genocide? Croatians, Serbs and Jews, 1941–45," in *The Final Solution; Origins and Implementation*, pp.175 193
- Christian Streit, "Wehrmacht, Einsatzgruppen, Soviet POWs and anti-Bolshevism in the emergence of the Final Solution," in *The Final Solution; Origins and Implementation*, pp.103 118
- Dara Kay Cohen, The ties that bind: How armed groups use violence to socialize fights, *Journal of Peace Research*, Vol 54(5), 2017, 701–714.

## Thursday April 11

### 23. The Domestic and International Audiences

• How it started: https://twitter.com/i/status/1567479046061056000

## Tuesday April 16

### 24. Refugees and displaced people

- Statisa.com with some basics: https://www.statista.com/statistics/1312584/ukrainian-refugees-by-country/
- OECD report: https://www.oecd.org/ukraine-hub/policy-responses/ the-potential-contribution-of-ukrainian-refugees-to-the-labour-force-in-euro
- Challenges arising: https://www.brookings.edu/blog/future-development/2022/10/14/ ukrainian-refugees-challenges-in-a-welcoming-europe/
- Is the welcome running out: https://www.thenewhumanitarian.org/news-feature/2022/08/04/Poland-Ukraine-refugee-concern-grows

• UNHCR Report: https://app.powerbi.com/view?r=

- eyJrIjoiMWU3NjkzYmEtNDYzMC00M2EyLTkwMjctMGIwZTA0MTQwMjU5IiwidCI6ImU1YzM30Tgx pageName=ReportSectionb9333061a0a2e93930ea
- Internally displaced people: file:///C:/Users/hgoem/Downloads/IOM\_Gen%20Pop%20Report\_R9\_IDP\_FINAL%20(1)%20(2).pdf

## Thursday April 18

## 25. What are they thinking now?!

How have expectations changed? May/June 2022

- Isaac Chotiner, The New Yorker, (24/05/2022): https://www.newyorker.com/news/q-and-a/putins-pivot-to-a-really-big-war-in-ukraine? mbid=social\_twitter&utm\_medium=social&utm\_source=twitter&utm\_social-type=owned&utm\_brand=tny&utm\_campaign=cm\_paid\_social\_tny\_paid\_content\_ukraine\_twitter&twclid=2-2favyhip0joq4z8vnwiwul2gw
- UK Chief of the General Staff, General Sir Patrick Sanders (28/06/2022): https://www.gov.uk/government/speeches/chief-the-general-staff-speech-at-rusi-land-warfare-conference

How have expectations changed? October/November 2022

• New York Times December 2022 overview of the war to date: https://www.nytimes.com/interactive/2022/12/16/world/europe/russia-putin-war-failures-ukraine.html • On the conduct of the war, November 29: https://www.reuters.com/world/europe/ russia-firing-unarmed-missiles-deplete-ukraine-air-defense-says-us-military-

How have expectations changed? October/November 2024

- John Mearsheimer's view on the Summer-Fall 2023 Ukrainian offensive: https://mearsheimer.substack.com/p/bound-to-lose
- New York Times assessment of Russian losses, December 2023: https://www.nytimes.com/2023/12/12/us/politics/russia-intelligence-assessment.html.

How have expectations changed? Spring 2024

- TBD (To Be Determined)
- TBD

## Tuesday April 23

## 26. How have war aims changed? 2022–2024

Late 2022:

- John Mearsheimer on Putin's ambitions: https://www.newyorker.com/news/q-and-a/ john-mearsheimer-on-putins-ambitions-after-nine-months-of-war
- Ukraine's demand for territorial integrity: https://www.aljazeera.com/news/2022/11/8/ ukraine-says-talks-with-russia-hinge-on-territorial-integrity
- Zelensky: https://twitter.com/i/status/1589736825634988033
- https://www.reuters.com/world/europe/ putin-acknowledges-russias-war-ukraine-could-be-long-one-2022-12-07/

How have war aims changed? 29 March 2023:

• Spring 2023 https://rtvi.com/news/v-mide-rasskazali-o-budushhem-rossijsko-ukrainskih-otnoshenij-posle-konflikt

How have war aims changed? April 2024:

- TBD
- TBD

## Thursday April 25

## 27. Making sense of the war

 $\underline{\text{Tuesday April 29}}$ 

28. Last day of classes

#### Questions to consider in formulating and evaluating social science research

- 1. What is the central question?
  - Why is it important (theoretically, substantively)?
  - What is being explained (what is the dependent variable and how does it vary)?
  - How does this phenomenon present a puzzle?
- 2. What is the central answer?
  - What is doing the explaining (what are the independent variables and how do they vary)?
  - What are the hypotheses, i.e., what is the relationship between independent and dependent variables, what kind of change in the independent variable causes what kind of change in the dependent variable?
  - What are the causal mechanisms, i.e., why are the independent and dependent variables so related?
  - How do the independent variables relate to each other?
  - What assumptions does your theory make?
  - Is the theory falsifiable in concept?
  - What does this explanation add to our understanding of the question?
- 3. What are the possible alternative explanations?
  - What assumptions are you making about the direction of causality?
  - What other explanations might there be for the phenomenon of study, and to what degree do they conflict with the central answer?
  - Could the hypothesized relationships have occurred by chance?
- 4. Why are the possible alternative explanations wrong?
  - What is the logical structure of the alternative explanations (compare 2)?
  - What is the empirical evidence?
- 5. What is the relationship between the theory and the evidence?
  - What does the research design allow to vary, i.e., in this design are the explanations variables or constants?
  - What does your research design hold constant, i.e., does it help to rule out the alternative competing explanations?
  - How are the theoretical constructs represented empirically, i.e., how do you know it when you see it (measurement)?
- 6. How do the empirical conclusions relate to the theory?
  - How confident are you about the theory in light of the evidence?
  - How widely do the conclusions generalize, i.e., what might be the limitations of the study?
  - What does the provisionally accepted or revised theory say about questions of broader importance?