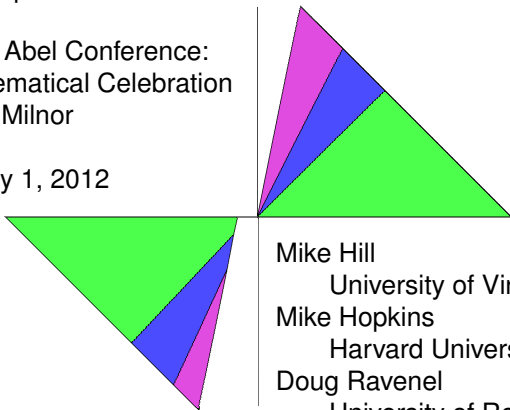


A solution to the Arf-Kervaire invariant problem

Second Abel Conference:
A Mathematical Celebration
of John Milnor

February 1, 2012



Mike Hill
University of Virginia
Mike Hopkins
Harvard University
Doug Ravenel
University of Rochester

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- Pontryagin's early work
- Exotic spheres as framed manifolds

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- How we construct Ω
- The slice spectral sequence



Mike Hill, myself and Mike Hopkins
Photo taken by Bill Browder
February 11, 2010

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The Kervaire-Milnor classification of exotic spheres

About 50 years ago three papers appeared that revolutionized algebraic and differential topology.

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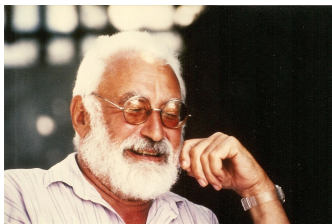
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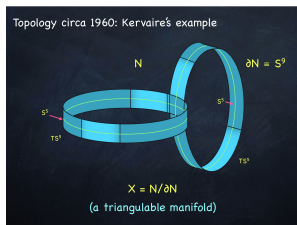
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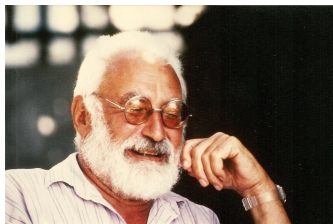
The slice spectral sequence

Michel Kervaire's *A manifold which does not admit any differentiable structure*, 1960.

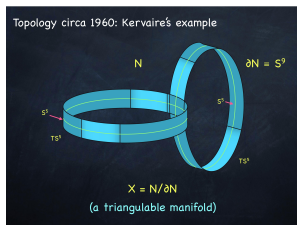
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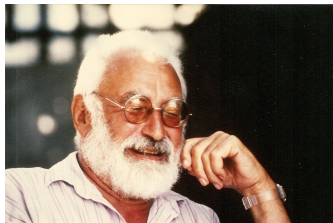
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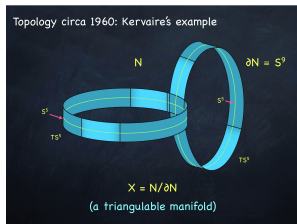
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Michel Kervaire's *A manifold which does not admit any differentiable structure*, 1960. His manifold was 10-dimensional. I will say more about it later.

The Kervaire-Milnor classification of exotic spheres (continued)

- Kervaire and Milnor's *Groups of homotopy spheres, I*, 1963.

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For example, for $n = 1, 2, 3, \dots, 18$, it will be shown that the order of the group Θ_n is respectively:

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$[\Theta_n]$	1	1	?	1	1	1	28	2	8	6	992	1	3	2	16256	2	16	16.

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They gave a complete classification of exotic spheres in dimensions ≥ 5 , with two caveats:

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They gave a complete classification of exotic spheres in dimensions ≥ 5 , with two caveats:

- Their answer was given in terms of the stable homotopy groups of spheres, which remain a mystery to this day.

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- Their answer was given in terms of the stable homotopy groups of spheres, which remain a mystery to this day.
- There was an ambiguous factor of two in dimensions congruent to 1 mod 4.

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They gave a complete classification of exotic spheres in dimensions ≥ 5 , with two caveats:

- (i) Their answer was given in terms of the stable homotopy groups of spheres, which remain a mystery to this day.
- (ii) There was an ambiguous factor of two in dimensions congruent to 1 mod 4. **The solution to that problem is the subject of this talk.**

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Lev Pontryagin 1908-1988

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Pontryagin's approach to continuous maps $f : S^{n+k} \rightarrow S^k$ was

- Assume f is smooth.

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Pontryagin's approach to continuous maps $f : S^{n+k} \rightarrow S^k$ was

- Assume f is smooth. We know that any map f can be continuously deformed to a smooth one.

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- Assume f is smooth. We know that any map f can be continuously deformed to a smooth one.
- Pick a regular value $y \in S^k$.

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- Assume f is smooth. We know that any map f can be continuously deformed to a smooth one.
- Pick a regular value $y \in S^k$. Its inverse image will be a smooth n -manifold M in S^{n+k} .
- By studying such manifolds, Pontryagin was able to deduce things about maps between spheres.

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Pontryagin's early work (continued)

$$\begin{array}{ccc} S^{n+k} & \xrightarrow{f} & S^k \\ \uparrow \text{J} & & \uparrow \text{J} \\ M^n \times D^k \xlongequal{\quad} V^{n+k} & \longrightarrow & D^k \\ \uparrow \text{J} & & \uparrow \text{J} \\ M^n & \longrightarrow & \{y\} \end{array}$$

Let D^k be the closure of an open ball around a regular value $y \in S^k$.

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Pontryagin's early work (continued)

$$\begin{array}{ccc} S^{n+k} & \xrightarrow{f} & S^k \\ \uparrow \wr & & \uparrow \wr \\ M^n \times D^k \equiv V^{n+k} & \xrightarrow{\quad} & D^k \\ \uparrow \wr & & \uparrow \wr \\ M^n & \xrightarrow{\quad} & \{y\} \end{array}$$

Let D^k be the closure of an open ball around a regular value $y \in S^k$. If it is sufficiently small, then $V^{n+k} = f^{-1}(D^k) \subset S^{n+k}$ is an $(n+k)$ -manifold homeomorphic to $M \times D^k$.

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A local coordinate system around around the point $y \in S^k$ pulls back to one around M called a **framing**.

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A local coordinate system around around the point $y \in S^k$ pulls back to one around M called a **framing**.

There is a way to reverse this procedure.

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A local coordinate system around around the point $y \in S^k$ pulls back to one around M called a **framing**.

There is a way to reverse this procedure. A framed manifold $M^n \subset S^{n+k}$ determines a map $f : S^{n+k} \rightarrow S^k$.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps $f_1, f_2 : S^{n+k} \rightarrow S^k$ are **homotopic** if there is a continuous map $h : S^{n+k} \times [0, 1] \rightarrow S^k$ (called a **homotopy between f_1 and f_2**) such that

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

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If $y \in S^k$ is a regular value of h , then $h^{-1}(y)$ is a framed $(n+1)$ -manifold $N \subset S^{n+k} \times [0, 1]$

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If $y \in S^k$ is a regular value of h , then $h^{-1}(y)$ is a framed $(n+1)$ -manifold $N \subset S^{n+k} \times [0, 1]$ whose boundary is the disjoint union of $M_1 = f_1^{-1}(y)$ and $M_2 = f_2^{-1}(y)$.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps $f_1, f_2 : S^{n+k} \rightarrow S^k$ are **homotopic** if there is a continuous map $h : S^{n+k} \times [0, 1] \rightarrow S^k$ (called a **homotopy between f_1 and f_2**) such that

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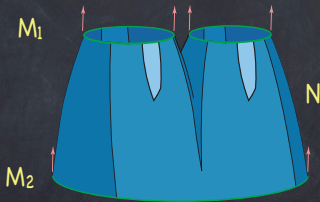
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Here is an example of a framed cobordism for $n = k = 1$.

Pontryagin (1930's)



Framed cobordism

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Let $\Omega_{n,k}^{fr}$ denote the cobordism group of framed n -manifolds in \mathbf{R}^{n+k} , or equivalently in S^{n+k} .

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Pontryagin's Theorem (1936)

The above homomorphism is an isomorphism in all cases.

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Both groups are known to be independent of k for $k > n$.

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The above homomorphism is an isomorphism in all cases.

Both groups are known to be independent of k for $k > n$. We denote the resulting stable groups by simply Ω_n^{fr} and π_n^S .

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The determination of the stable homotopy groups π_n^S is an ongoing problem in algebraic topology.

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The determination of the stable homotopy groups π_n^S is an ongoing problem in algebraic topology. Experience has shown that unfortunately its connection with framed cobordism is not very helpful.

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Into the 60s again

Following Kervaire-Milnor, let Θ_n denote the group of diffeomorphism classes of exotic n -spheres Σ^n .

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Into the 60s again

Following Kervaire-Milnor, let Θ_n denote the group of diffeomorphism classes of exotic n -spheres Σ^n . The group operation here is connected sum.

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Into the 60s again

Following Kervaire-Milnor, let Θ_n denote the group of diffeomorphism classes of exotic n -spheres Σ^n . The group operation here is connected sum.

Each Σ^n admits a framed embedding into some Euclidean space \mathbf{R}^{n+k} , but the framing is **not** unique.

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Exotic spheres as framed manifolds (continued)

Two framings of an exotic sphere $\Sigma^n \subset S^{n+k}$ differ by a map to the special orthogonal group $SO(k)$, and this map does not depend on the differentiable structure on Σ^n .

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Exotic spheres as framed manifolds (continued)

Two framings of an exotic sphere $\Sigma^n \subset S^{n+k}$ differ by a map to the special orthogonal group $SO(k)$, and this map does not depend on the differentiable structure on Σ^n . Varying the framing on the standard sphere S^n leads to a homomorphism

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Heinz Hopf
1894-1971

$$\pi_n SO(k) \xrightarrow{J} \pi_{n+k} S^k$$



George Whitehead
1918-2004

called the **Hopf-Whitehead J -homomorphism**.

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Thus we get a homomorphism

$$\Theta_n \xrightarrow{p} \pi_n^S / \text{Im } J.$$

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The bulk of the Kervaire-Milnor paper is devoted to studying its kernel and cokernel using surgery. The two questions are closely related.

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- The map p is onto iff every framed n -manifold is cobordant to a sphere, possibly an exotic one.

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The bulk of the Kervaire-Milnor paper is devoted to studying its kernel and cokernel using surgery. The two questions are closely related.

- The map p is onto iff every framed n -manifold is cobordant to a sphere, possibly an exotic one.
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They denote the kernel of p by bP_{n+1} , the group of exotic n -spheres bounding parallelizable $(n + 1)$ -manifolds.

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Hence we have an exact sequence

$$0 \longrightarrow bP_{n+1} \longrightarrow \Theta_n \xrightarrow{p} \pi_n^S / \text{Im } J.$$

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Kervaire-Milnor Theorem (1963)

- *The homomorphism p above is onto except possibly when $n = 4m + 2$ for $m \in \mathbf{Z}$, and then the cokernel has order at most 2.*

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- *Its kernel bP_{n+1} is trivial when n is even.*

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- *Its kernel bP_{n+1} is trivial when n is even.*
- *bP_{4m} is a certain cyclic group.*

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- *Its kernel bP_{n+1} is trivial when n is even.*
- *bP_{4m} is a certain cyclic group. Its order is related to the numerator of the m th Bernoulli number.*

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$$0 \longrightarrow bP_{n+1} \longrightarrow \Theta_n \xrightarrow{p} \pi_n^S / \text{Im } J.$$

Kervaire-Milnor Theorem (1963)

- *The homomorphism p above is onto except possibly when $n = 4m + 2$ for $m \in \mathbf{Z}$, and then the cokernel has order at most 2.*
- *Its kernel bP_{n+1} is trivial when n is even.*
- *bP_{4m} is a certain cyclic group. Its order is related to the numerator of the m th Bernoulli number.*
- *The order of bP_{4m+2} is at most 2.*

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- *bP_{4m+2} is trivial iff the cokernel of p in dimension $4m + 2$ is nontrivial.*

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We now know the value of bP_{4m+2} in every case except $m = 31$.

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In other words have a 4-term exact sequence

$$0 \longrightarrow \Theta_{4m+2} \xrightarrow{p} \pi_{4m+2}^S / \text{Im } J \longrightarrow \mathbf{Z}/2 \longrightarrow bP_{4m+2} \longrightarrow 0$$

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The early work of Pontryagin implies that $bP_2 = 0$ and $bP_6 = 0$.

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In 1960 Kervaire showed that $bP_{10} = \mathbf{Z}/2$.

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In 1960 Kervaire showed that $bP_{10} = \mathbf{Z}/2$.

To say more about this we need to define the **Kervaire invariant** of a framed manifold.

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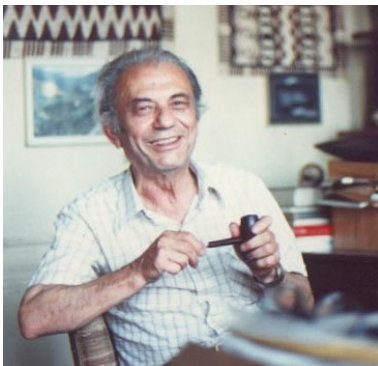
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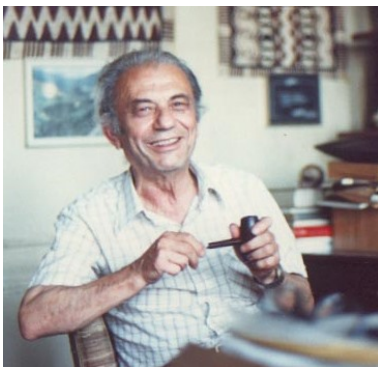
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Back to the 1940s



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Let λ be a nonsingular anti-symmetric bilinear form on a free abelian group H of rank $2n$ with mod 2 reduction \overline{H} . It is known that \overline{H} has a basis of the form $\{a_i, b_i: 1 \leq i \leq n\}$ with

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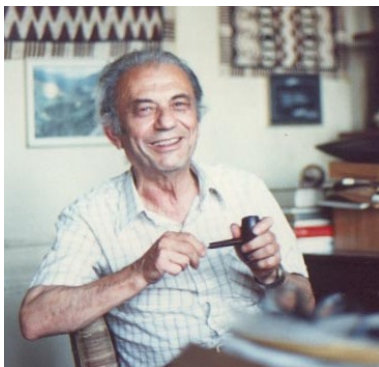
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$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

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A quadratic refinement of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

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A quadratic refinement of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

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Its Arf invariant is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

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In 1941 Arf proved that this invariant (along with the number n) determines the isomorphism type of q .

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Let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$. Let $H = H_{2m+1}(M; \mathbf{Z})$, the homology group in the middle dimension.

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Here is a simple example.

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Here is a simple example. Let $M = T^2$, the torus, be embedded in S^3 with a framing.

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Here is a simple example. Let $M = T^2$, the torus, be embedded in S^3 with a framing. We define the quadratic refinement

$$q : H_1(T^2; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$$

as follows.

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For $M = T^2 \subset S^3$ and $x \in H_1(T^2; \mathbf{Z}/2)$, $q(x)$ is the number of full twists in a cylinder V neighboring a curve representing x .

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For $M = T^2 \subset S^3$ and $x \in H_1(T^2; \mathbf{Z}/2)$, $q(x)$ is the number of full twists in a cylinder V neighboring a curve representing x .
This function is **not** additive!

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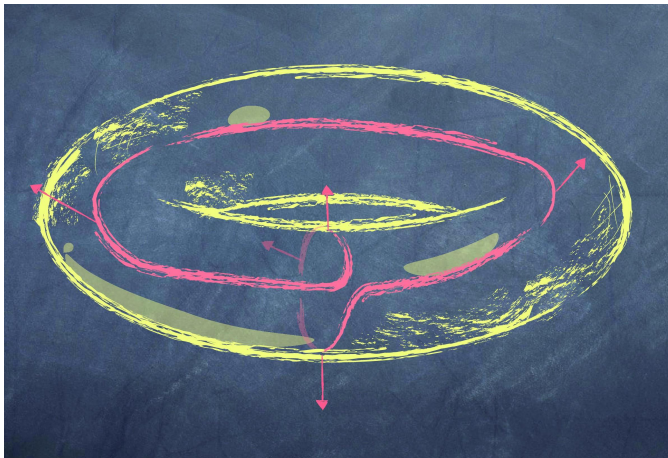
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Again, let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$,

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Again, let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$, and let $H = H_{2m+1}(M; \mathbf{Z})$.

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Again, let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$, and let $H = H_{2m+1}(M; \mathbf{Z})$. Each $x \in H$ is represented by an embedding $S^{2m+1} \hookrightarrow M$.

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Doug Ravenel



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The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

Again, let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$, and let $H = H_{2m+1}(M; \mathbf{Z})$. Each $x \in H$ is represented by an embedding $S^{2m+1} \hookrightarrow M$. H has an antisymmetric bilinear form λ defined in terms of intersection numbers.

Kervaire defined a quadratic refinement q on its mod 2 reduction \overline{H} in terms of each sphere's normal bundle.

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Recall the Kervaire-Milnor 4-term exact sequence

$$0 \longrightarrow \Theta_{4m+2} \xrightarrow{p} \pi_{4m+2}^S / \text{Im } J \longrightarrow \mathbf{Z}/2 \longrightarrow bP_{4m+2} \longrightarrow 0$$

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Kervaire-Milnor Theorem (1963)

$bP_{4m+2} = 0$ iff there is a smooth framed $(4m + 2)$ -manifold M with $\Phi(M)$ nontrivial.

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For $m = 0$ there is a framing on the torus $S^1 \times S^1 \subset \mathbf{R}^4$ with nontrivial Kervaire invariant.

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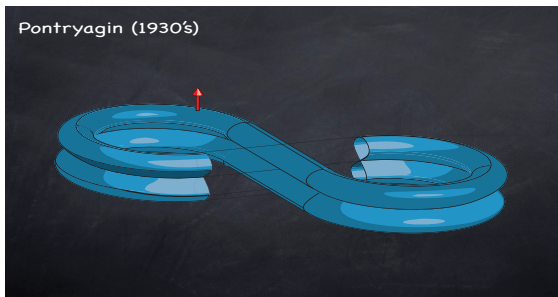
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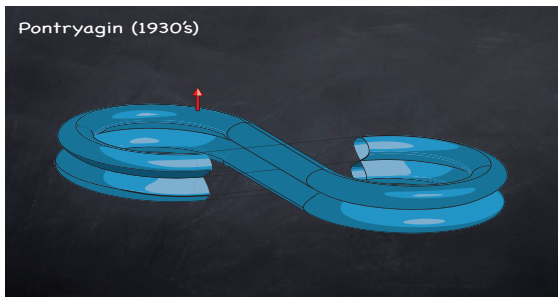
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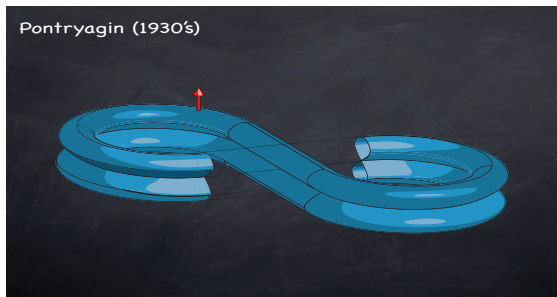
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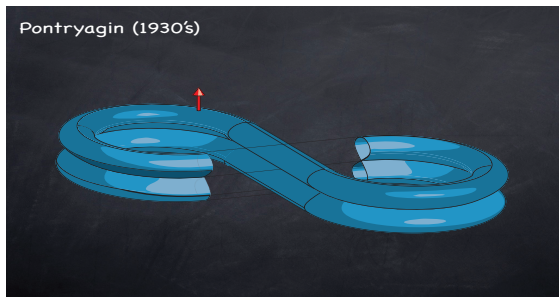
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Kervaire (1960) showed it must vanish when $m = 2$, so $bP_{10} = \mathbf{Z}/2$.

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Kervaire (1960) showed it must vanish when $m = 2$, so $bP_{10} = \mathbf{Z}/2$. This enabled him to construct the first example of a topological manifold (of dimension 10) without a smooth structure.

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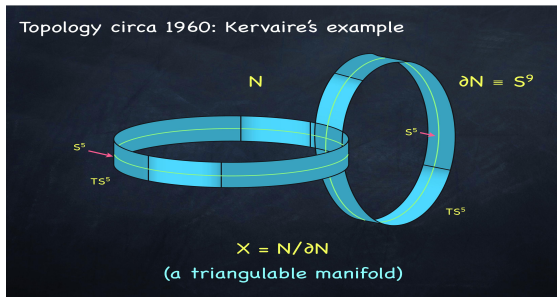
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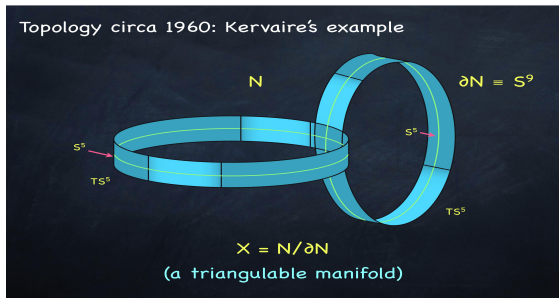
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This construction generalizes to higher m , but Kervaire's proof that the boundary is exotic does not.

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Ed Brown



Frank Peterson
1930-2000

Brown-Peterson (1966) showed that it vanishes for all positive even m .

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Brown-Peterson (1966) showed that it vanishes for all positive even m . This means $bP_{8\ell+2} = \mathbf{Z}/2$ for $\ell > 0$.

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Bill Browder

Browder (1969) showed that the Kervaire invariant of a smooth framed $(4m+2)$ -manifold can be nontrivial (and hence $bP_{4m+2} = 0$) only if $m = 2^{j-1} - 1$ for some $j > 0$.

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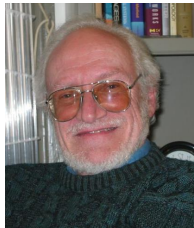
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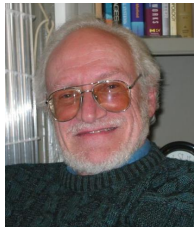
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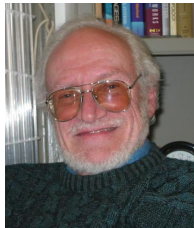
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- θ_j is known to exist for $1 \leq j \leq 5$, i.e., in dimensions 2, 6, 14, 30 and 62.

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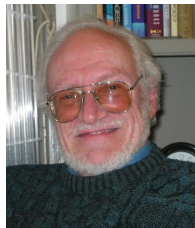
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- θ_j is known to exist for $1 \leq j \leq 5$, i.e., in dimensions 2, 6, 14, 30 and 62. In other words, bP_2 , bP_6 , bP_{14} , bP_{30} and bP_{62} are all trivial.

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And then ...

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And then ... the problem went viral!

A wildly popular dance craze



Drawing by Carolyn Snaith 1981
London, Ontario

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Speculations about θ_j after Browder's theorem

In the decade following Browder's theorem, many topologists tried **without success** to construct framed manifolds with nontrivial Kervaire invariant in **all** such dimensions, i.e., to show that $bP_{2^{j+1}-2} = 0$ for all $j > 0$.

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Some homotopy theorists, most notably Mahowald, speculated about what would happen if θ_j existed for all j .

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Some homotopy theorists, most notably Mahowald, speculated about what would happen if θ_j existed for all j . He derived numerous consequences about homotopy groups of spheres. The possible nonexistence of the θ_j for large j was known as the **Doomsday Hypothesis**.

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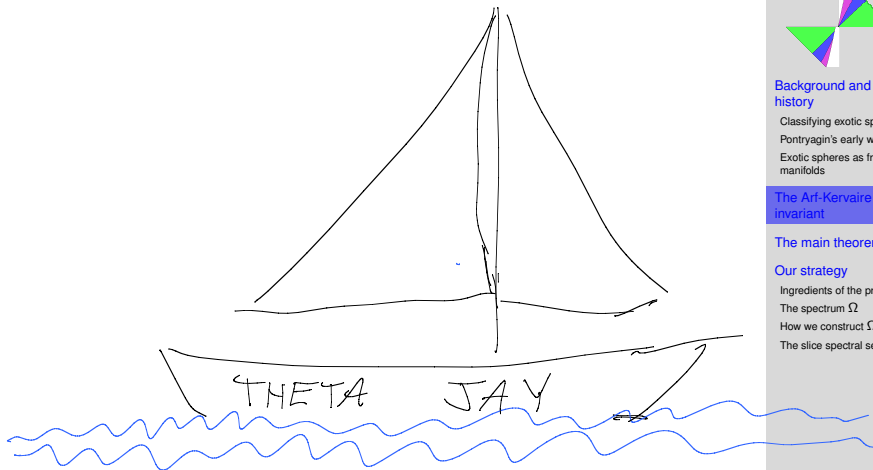
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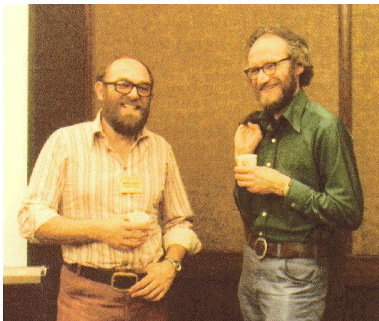
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Vic Snaithe and Bill Browder in 1981
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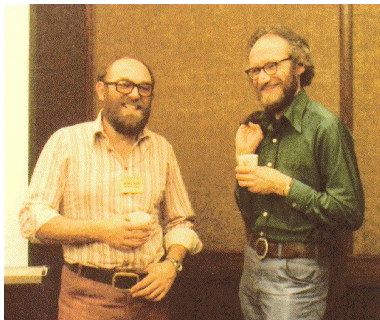
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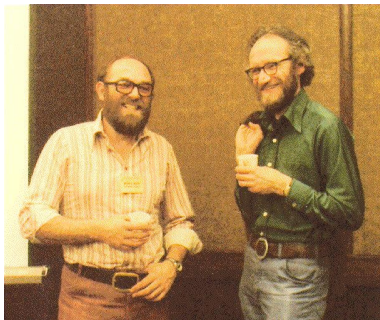
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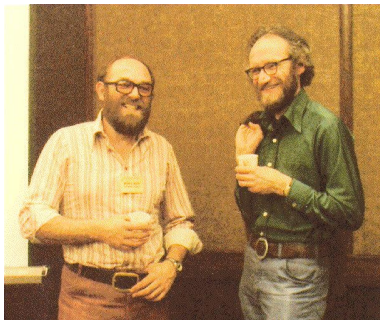
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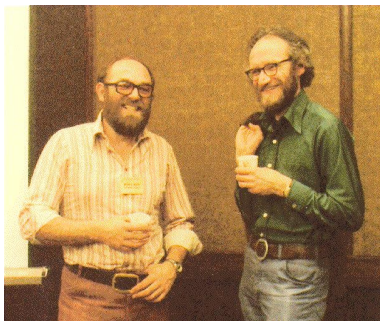
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“As ideas for progress on a particular mathematics problem atrophy it can disappear.

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“As ideas for progress on a particular mathematics problem atrophy it can disappear. Accordingly I wrote this book to stem the tide of oblivion.”

Snaith's book (continued)



“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds

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“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds - a feeling which must have been shared by many topologists working on this problem. All in all, the temporary high of believing that one had the construction was sufficient to maintain in me at least an enthusiastic spectator's interest in the problem.”

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“In the light of the above conjecture and the failure over fifty years to construct framed manifolds of Arf-Kervaire invariant one

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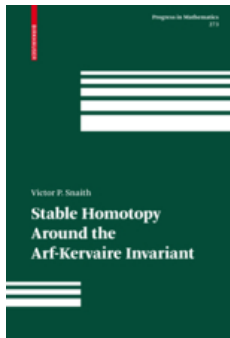
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Our main theorem can be stated in three different but equivalent ways:

- **Manifold formulation:** It says that the Kervaire invariant $\Phi(M^{4m+2})$ of a smooth $2m$ -connected framed $(4m + 2)$ -manifold must vanish (and $bP_{4m+2} = \mathbf{Z}/2$)

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- **Stable homotopy theoretic formulation:** It says that certain long sought hypothetical maps between high dimensional spheres do not exist.
- **Unstable homotopy theoretic formulation:** It says something about the EHP sequence, which has to do with unstable homotopy groups of spheres.

There were several unsuccessful attempts in the 1970s to prove the **opposite** of what we have proved, namely that $bP_{2^{j+1}-2} = 0$ for all $j > 0$.

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Main Theorem

The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$ for large n do not exist for $j \geq 7$.

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Main Theorem

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The θ_j in the theorem is the name given to a hypothetical map between spheres represented by a framed manifold with nontrivial Kervaire invariant.

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Corollary

The Kervaire-Milnor group $bP_{2^{j+1}-2}$ is nontrivial for $j \geq 7$.

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The Kervaire-Milnor group $bP_{2^{j+1}-2}$ is nontrivial for $j \geq 7$.

It is known to be trivial for $1 \leq j \leq 5$.

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Corollary

The Kervaire-Milnor group $bP_{2^{j+1}-2}$ is nontrivial for $j \geq 7$.

It is known to be trivial for $1 \leq j \leq 5$. The case $j = 6$, i.e., bP_{126} , is still open.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres.

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Questions raised by our theorem

Adams spectral sequence formulation. We now know that the h_j^2 for $j \geq 7$ are not permanent cycles, so they have to support nontrivial differentials. **We have no idea what their targets are.**

Unstable homotopy theoretic formulation. In 1967 Mahowald published an elaborate conjecture about the role of the θ_j (assuming that they all exist) in the unstable homotopy groups of spheres. Since they do not exist, a substitute for his conjecture is needed. **We have no idea what it should be.**

Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres. We look forward to learning more with it in the future.

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For the sphere spectrum S^0 , $\pi_n(S^0)$ (previously denoted by π_n^S) is the usual homotopy group $\pi_{n+k}(S^k)$ for $k > n + 1$.

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For the sphere spectrum S^0 , $\pi_n(S^0)$ (previously denoted by π_n^S) is the usual homotopy group $\pi_{n+k}(S^k)$ for $k > n + 1$. The hypothetical θ_j is an element of this group for $n = 2^{j+1} - 2$.

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More ingredients of our proof:

- We use **complex cobordism theory**.

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More ingredients of our proof:

- We use **complex cobordism theory**. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory.

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More ingredients of our proof:

- We use **complex cobordism theory**. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Milnor, Novikov and Quillen in the 60s.

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More ingredients of our proof:

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John Milnor



Sergei Novikov



Dan Quillen
1940–2011

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More ingredients of our proof:

- We also make use of newer less familiar methods from **equivariant stable homotopy theory**.

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More ingredients of our proof:

- We also make use of newer less familiar methods from **equivariant stable homotopy theory**. This means there is a finite group G (a cyclic 2-group) acting on all spaces in sight, and all maps are required to commute with these actions.

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Peter May



John Greenlees



Gaunce Lewis
1949-2006

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We will produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

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- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.

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- (iii) **Gap Theorem.** $\pi_k(\Omega) = 0$ for $-4 < k < 0$.

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- (ii) and (iii) imply that $\pi_{254}(\Omega) = 0$.

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If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

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If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist. The argument for θ_j for larger j is similar, since $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$ for $j \geq 7$.

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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

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To construct it we start with the complex cobordism spectrum MU .

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation.

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum.

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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum. In this notation, U and O stand for the unitary and orthogonal groups.

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To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup.

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To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup. Let

$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X .

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by left multiplication, and the resulting object has an action of G by left multiplication.

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the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by left multiplication, and the resulting object has an action of G by left multiplication. As a space, $Y = X^{|G/H|}$, the $|G/H|$ -fold Cartesian power of X .

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In particular we get a C_8 -spectrum

$$MU_{\mathbf{R}}^{(4)} = \text{Map}_{C_2}(C_8, MU_{\mathbf{R}}).$$

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In particular we get a C_8 -spectrum

$$MU_{\mathbf{R}}^{(4)} = \text{Map}_{C_2}(C_8, MU_{\mathbf{R}}).$$

This spectrum is not periodic, but it has a close relative $\tilde{\Omega}$ which is.

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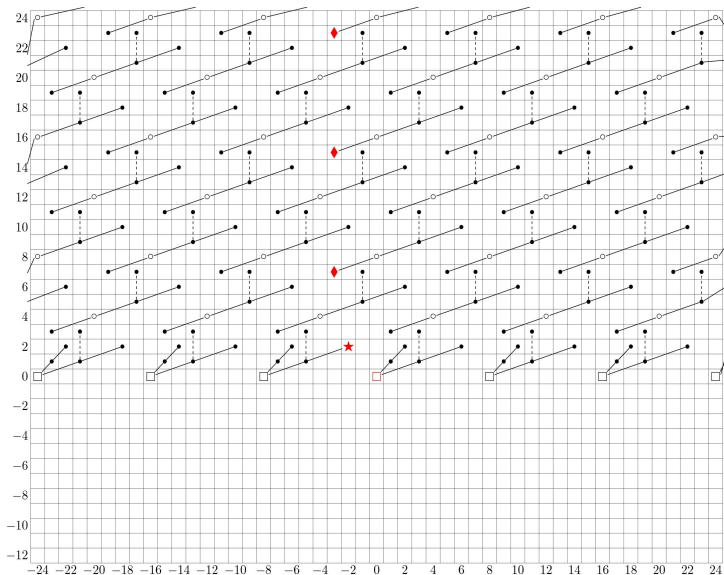
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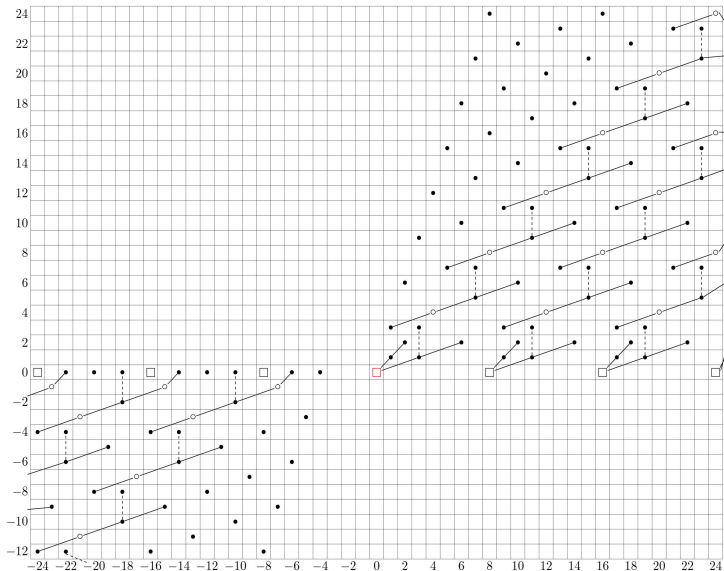
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