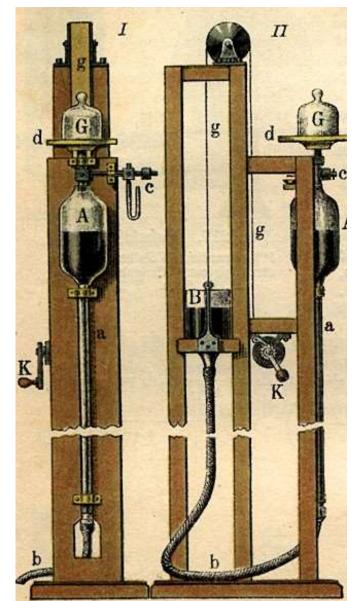
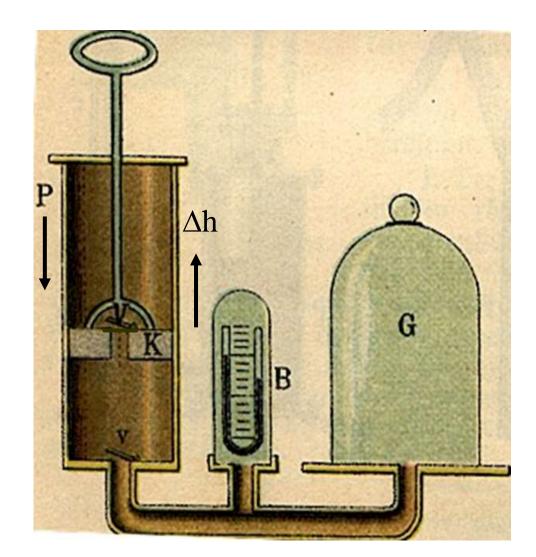
#### Thermodynamic Phenomenology & Applications





W. Udo Schröder 2023

# Thermodynamics: Phenomenology & Applications

- Work and other forms of energy
- Fundamental Laws of Thermodynamics
- Ideal-gas laws and simple processes
   Technological applications, cyclic engines
- Real gases equation of state
   Technological applications
- Phase equilibria, solutions
- Transport phenomena

Reading	Assignments
	Weeks 12&13
LN V-VI:	

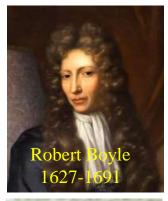
Kondepudi Ch. 3.5-3.7, 6 & 7 Additional Material

McQuarrie & Simon Ch. 5 & 6

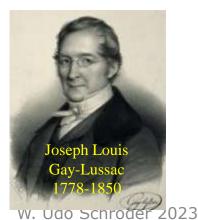
#### Evolution and Asymptotic State of Stochastic Systems

- Have considered the response of stochastic systems to external agitation. For example, multi-particle system (e.g., a gas) exposed to radiation, mechanical, or other work randomize initial disturbance by multiple interactions between system constituents (e.g., random collisions between gas particles).
- This dissipation process is directed towards increasing statistical entropy S (ηtheorem). It takes some time to complete S→S<sub>max</sub>.
- After that, all particles in the system move randomly and share the total energy equally on average. → Fluctuations between equivalent microstates.
- This state is called "Thermal Equilibrium" (no memory of history)
   Extensive State Functions: N, V, internal energy U, entropy S, enthalpy H, free energies A, G. Intensive State Functions p, T.
- Predict probability distributions for <u>all</u> macroscopic observables: timedependent means and variances.

#### Gas Laws: Ideal-Gas Equation of State EoS







Robert Boyle, Guillaume Amontons, Gay-Lussac, Dalton,.. Response of dilute gases of specified amounts (#moles = *n*, *Avogadro*)

Boyle's Law  $P(V) \propto 1/V$  or  $P \cdot V = const(n,T)$ 

Amontons' (Gay – Lussac's) Law  $P(T) = P(0) \cdot [1 + \alpha \cdot T_c] \propto T$ 

Charles' Law  $V(T_c) = V(0^{\circ}C) \cdot [1 + \alpha \cdot T_c] \rightarrow V(T) \propto T$  (Kelvin)

 $\alpha \approx 3.66 \cdot 10^{-3}/^{0}$ C  $\approx 1/273^{0}$ C  $\rightarrow$  absolute temperature T

Compression

V

Robert Boyle: gas pressure p increases with external force  $F = p \cdot A$ , scales with number of particles (N) or (n) of gas moles

EoS of Ideal Gases

$$P \cdot V = n \cdot R \cdot T = N \cdot k_{B} \cdot T$$

Equivalent

$$P = \rho \cdot k_{\rm B} \cdot T$$



$$P = \sum_{i} P_{i} = \sum_{i} x_{i} \cdot P$$

#### Amontons' Paper and Setup

155

#### DISCOURS SUR QUELQUES propriétés de l'Air, & le moyen d'en connoître la température dans tous les climats de la Terre.

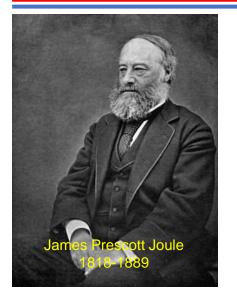
DES SCIENCES.

#### PAR M. AMONTONS.

Es expériences qui peuvent conduire à connoître la 1701. nature de l'air dans lequel nous vivons, font d'une 28. Juin. conféquence affez confiderable pour mériter qu'on y faffe une particulière attention. Celles que je fis il y a trois ans fur la dilatation de l'air par la chaleur de l'eau bouillante, me firent connoître que des masses inégales d'air chargées de mêmes poids ou de poids égaux, augmentoient également la force de leur reffort par des degrés de chaleur égaux; & comme mon principal but dans ces expériences étoit de connoître de combien la chaleur de l'eau bouillante augmentoit le reffort de l'air au-deffus de ce qu'il en conferve dans l'eau que nous appellons froide, ces expériences me porterent pour lorsà croire que ce n'étoit que d'une quantité capable de foûtenir dix pouces en hauteur de mercure outre le poids de l'atmosphére : mais ayant depuis poussé plus loin ces expériences, j'ai trouvé que le reffort de l'air augmenté par la chaleur de l'eau bouillante n'étoit pas fixé à ne foûtenir seulement que dix pouces de mercure plus que la charge de l'atmosphère ; mais qu'il en soutenoit plus ou

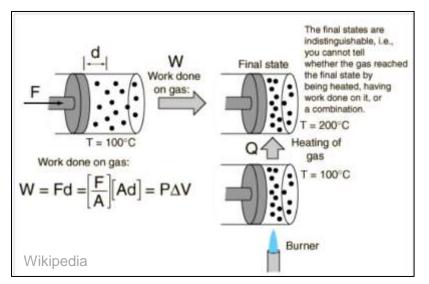
W. Udo Schröder 2023

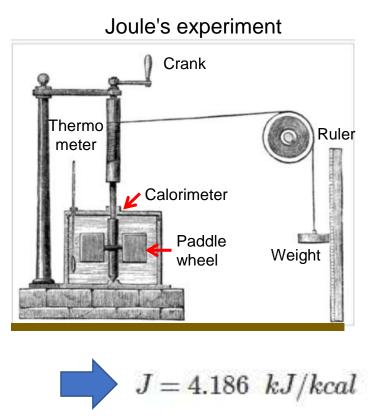
# Mechanical Equivalent of Heat



"An Experimental Enquiry Concerning the Source of the Heat which is Excited by Friction", (1798), Philosophical Transactions of the Royal Society p. 102

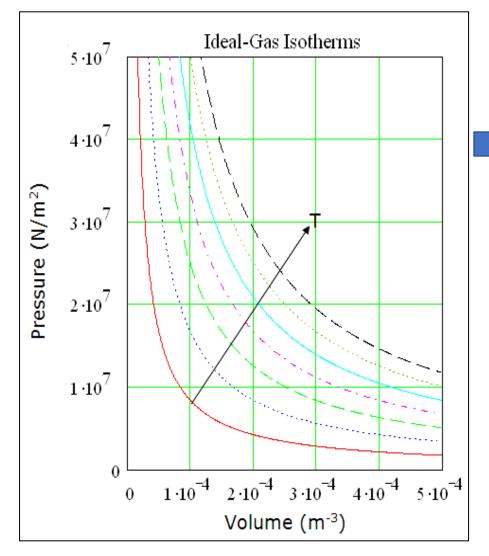
> $W \propto Q$   $\Rightarrow W = JQ$  $\Rightarrow J = \frac{W}{Q}$





≈ specific heat of H<sub>2</sub>O: C<sub>V</sub>() ≈ (4.17- 4.22) J/(g·°C)

W. Udo Schröder 2023



Complete description of macroscopic

equilibrium state of any dilute gas:

Ideal gases have only one phase (g)

State Functions (variables)

Pressure P, volume V, temperature T,

Energy **U** = <**E**>, Entropy **S** 

 $P \cdot V = n \cdot R \cdot T = N \cdot k_{B} \cdot T$ 

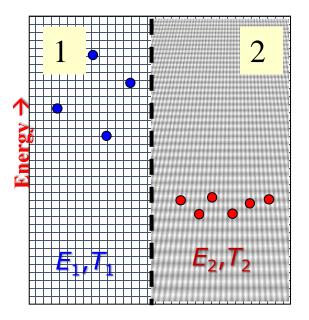
Force  $F = P \cdot A \rightarrow P \cdot V = energy \ content$ Entropy  $S = n \cdot [R \cdot \ln V + C_V \cdot \ln T]$ 

Idealization: At T=0: P = 0, V = 0. Idealization not viable at T=0 high high matter density  $\rightarrow$  particles interact

Gas Constant  $R = 8.31451 \text{ J/(K} \cdot \text{mole})$ Boltzmann Constant  $k_B = 1.38.10^{-23} \text{ J/K}$ 

Phenomen 1&2. LTD Boltzmann Co.

# **0. LTD:** Systems in Thermal Contact Equilibrate



Disequilibrium: Systems, 1 and 2,  $T_1 \neq T_2$ individual particle numbers and energies,

 $N_1 + N_2 = N$ ,  $E_1 + E_2 = E = const.$ 

# of states:  $\Omega_1(E_1)$  and  $\Omega_2(E_2)$ , initial  $(E_1, E_2)$ 

Isolated combined system CS = 1+2:  $E_1+E_2 = E=const$ , but  $E_1/E_2$  can vary through heat (q) exchange  $1 \leftarrow \rightarrow 2$  (no particles).

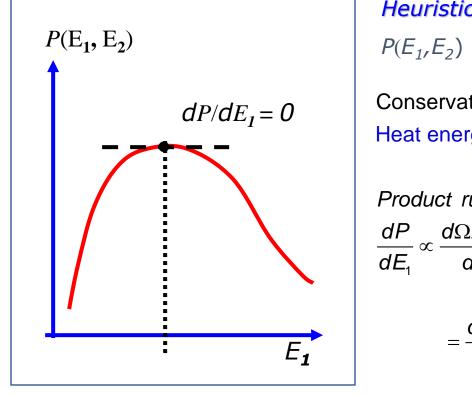
**Observation:** Systems in contact exchange energies until  $T_1 = T_2$ 

**WHY?** How likely is any  $E_1/E_2$ , how does it evolve in time?

→ *Heuristic argument*  $\approx$  postulate, specific for *q* exchange 1 $\leftarrow$ →2:

Maximize  $P(E_1, E_2) \propto \Omega_{CS}(E_1, E_2) = \Omega_1(E_1) \cdot \Omega_2(E_2) = \Omega_1(E_1) \cdot \Omega_2(E - E_1)$ 

# Maximizing Opportunities (# of accessible states)



Heuristic argument: Maximize  $P(E_1, E_2)$   $P(E_1, E_2) \propto \Omega_{CS}(E_1, E_2) = \Omega_1(E_1) \cdot \Omega_2(E - E_1)$ Conservation of total energy  $E = E_1 + E_2 = const$ Heat energy (q) transfer  $\rightarrow$  probability change, constraint  $\Delta E_1 = -\Delta E_2 < 0$ Product rule :

$$\frac{dP}{dE_1} \propto \frac{d\Omega_1(E_1)}{dE_1} \Omega_2(E_2) + \Omega_1(E_1) \frac{d\Omega_2(E_2)}{dE_1}$$

$$=\frac{d\Omega_1(E_1)}{dE_1}\Omega_2(E_2) - \Omega_1(E_1)\frac{d\Omega_2(E_2)}{dE_2}$$

Maximum P: 
$$\frac{dP}{dE_1} = 0 \rightarrow \frac{1}{\Omega_1} \frac{d\Omega_1(E_1)}{dE_1} = \frac{1}{\Omega_2} \frac{d\Omega_2(E_2)}{dE_2} \neq 0$$

We already know: If configurations are equally likely  $\rightarrow P$  maximizes entropy ( $\eta$ )

# Entropy and Energy at Equilibrium

Systems (1, 2, ..., N) in mutual contact  $\rightarrow$  eventual stationary state = maximum probability all acquire same (but lower) temperature T when  $\left(\frac{1}{\Omega}\frac{d\Omega(E)}{dE}\right)_1 = \left(\frac{1}{\Omega}\frac{d\Omega(E)}{dE}\right)_2 \dots = \left(\frac{1}{\Omega}\frac{d\Omega(E)}{dE}\right)_N \Rightarrow \left[\frac{d\Omega(E)}{\Omega dE} = \frac{d\ln[\Omega(E)]}{dE} = const.(E)\right]$ 

Const(E) dim=1/energy: *Independent of material, size, shape, amount*. → Depends on energy supplied to one of the systems. But is not extensive!

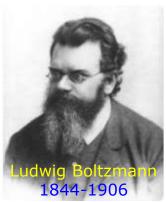
Suspect Const. ~ temperature T = intensive observable that depends on energy, since  $P \cdot V = N \cdot k_B \cdot T$ 

Construct *intensive* energy-related variable  $\frac{d \ln[\Omega(E)]}{dE} \propto \frac{d[S(\langle E \rangle)]}{d\langle E \rangle} \propto \frac{1}{T}$ 

Define 
$$S = k_B \cdot Ln \Omega \rightarrow \frac{\partial S}{\partial E} = k_B \cdot \beta$$
  
**Boltzmann Constant**  
integration constant

Simplest form compatible: Entropy ("intrinsic") **S** extensive, with  $S_0=0$ 

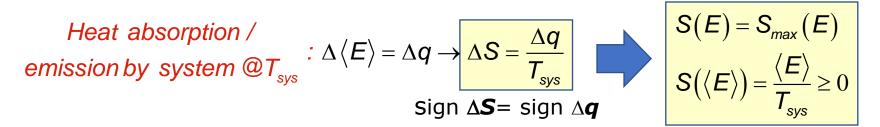
#### Deduced from Observation: Entropy at Equilibrium



System with  $\Omega = \#$  intrinsic states, initially in g.s.  $T_{sys}, E \approx 0; S \approx 0$ brought in contact with "Heat Bath" @  $T_{heat \, bath}$  spontaneously acquires heat energy  $\Delta \langle E \rangle = \Delta q \rightarrow$  stationary state

Thermal Equilibrium :

Maximum spread of  $\Delta \langle E \rangle$  over  $\Omega$ , system  $\rightarrow T_{sys} \approx T_{heat \, bath}$ 



In spontaneous processes, entropy (always) increases:  $\Delta S > 0$ 

$$S(E) = k_{B} \cdot Ln \Omega(E) \ge 0$$

$$\Omega(E) = e^{S(E)/k_B} \ge 1$$

$$k_{\rm B} = 1.380649 \times 10^{-23} \, J/K = 1.380649 \times 10^{-16} \, erg/K$$

## Thermodynamics: Phenomenology & Applications

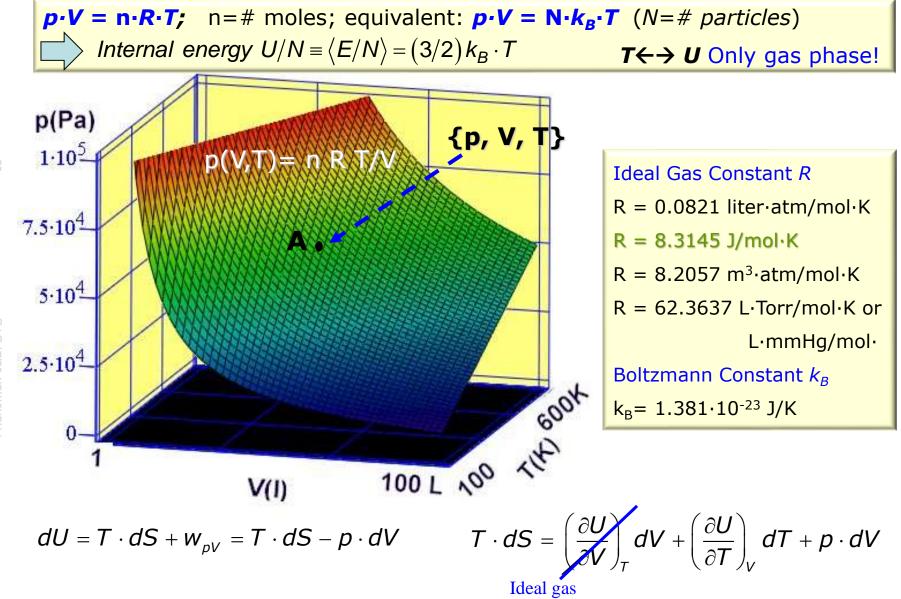
- Work and other forms of energy
- Fundamental Laws of Thermodynamics
- Ideal-gas laws and simple processes
   Technological applications, cyclic engines
   Carnot process
- Real gases equation of state
   Technological applications
- Phase equilibria, solutions
- Transport phenomena

Reading	Assignments
	Weeks 12&13
LN V-VI:	

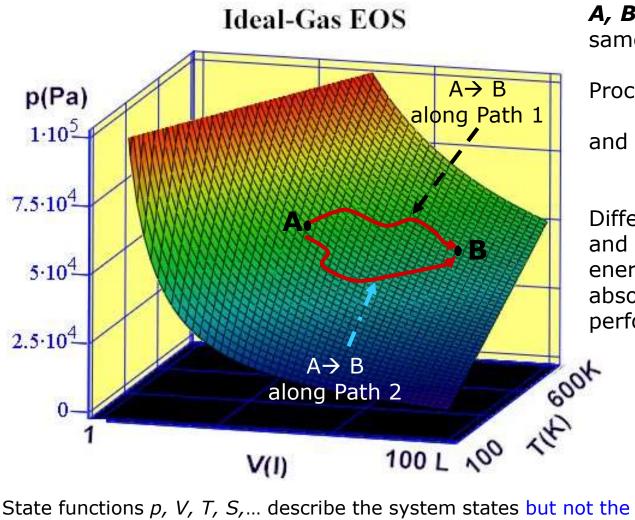
Kondepudi Ch. 3.5-3.7, 6 & 7 Additional Material

McQuarrie & Simon Ch. 5 & 6

#### The Ideal-Gas Equation of State



#### **Transitions Between States**



**A**, **B**: Two states of the same gas.

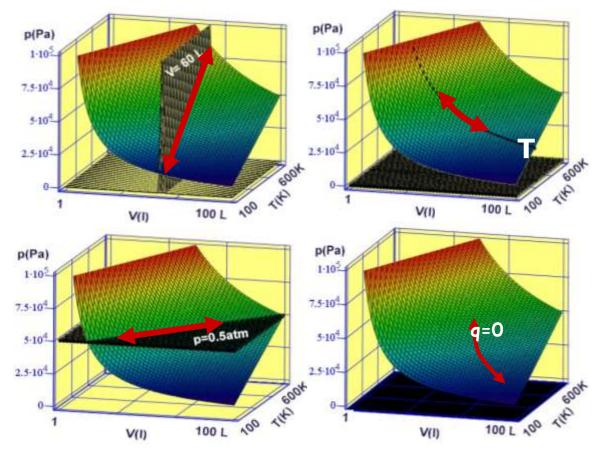


 $A \xrightarrow{2} B$ 

Differ by different types and relative amounts of energy transfer via absorption of heat and performance of work.

State functions *p*, *V*, *T*, *S*,... describe the system states but not the processes connecting them.

#### **Reversible Processes**



Of interest for cyclic machines. Slow, equilibrium processes  $A \rightarrow B$ , subject to equilibrium boundary conditions of:

•	∆ <b>p = 0</b>	(isobaric)
	-	

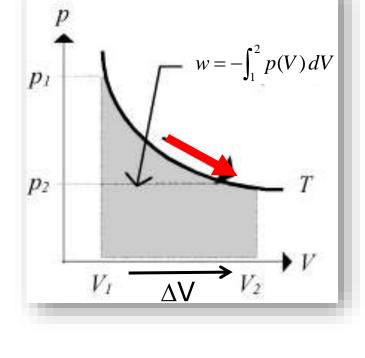
- 2.  $\Delta \mathbf{V} = \mathbf{0}$  (isochoric)
- 3.  $\Delta T = 0$  (isothermal)
- 4. **q = 0 (adiabatic)**

follow well-defined, constrained routes in the  $\{p, V, T\}$  hyper-plane of states. Can, in principle, be inverted  $\rightarrow$  reversible processes.

Reversibility is not guaranteed for all processes involving an ideal gas. Need to maintain equilibrium throughout process.

# **Reversible Isothermal Expansion/Compression**

Slow, reversible expansion under retention of equilibrium,  $S=S_{max}(T)$ Intersection of {p,V,T} hyper-plane with plane T=const.



**w** = - area under curve p(V)Total work  $(V_1 \rightarrow V_2)$ : Use  $p \cdot V = R \cdot T$  for expanding 1 mole

$$w = -\int_{1}^{2} p(V) dV = -R \cdot T \int_{1}^{2} \frac{dV}{V} =$$
$$= R \cdot T \cdot \ln\left(\frac{V_{1}}{V_{2}}\right) < 0$$

w < 0 implies system does work on surroundings

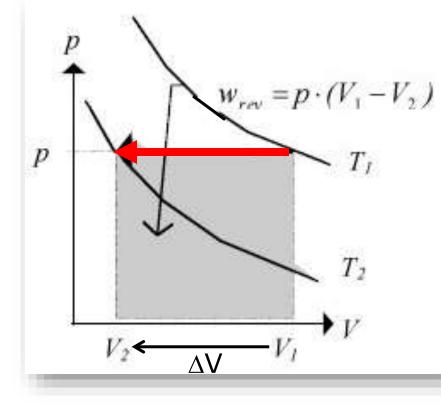
But  $\Delta U \propto \Delta T = 0 \rightarrow q > 0$  (absorbs heat)

1. Law of Thermodynamics:

$$\rightarrow q = \Delta U - w = -w = -R \cdot T \cdot \ln\left(\frac{V_1}{V_2}\right) > 0$$

# **Reversible Isobaric Compression**

Slow, reversible compression under retention of equilibrium,  $S = S_{max}(T)$ 



Work done on system:

$$w = -\int_{1}^{2} p(V)dV = -p \cdot \int_{1}^{2} dV > 0$$
  
= -p \cdot \Delta V = -R \cdot \Delta T > 0 Shaded Area  
EOS - FOS - FOS

 $\Delta T < 0$ : system must cool by emitting

$$q = C_p \cdot \Delta T = \frac{5}{2} R \cdot \left(\frac{p \cdot \Delta V}{R}\right) = -\frac{5}{2} w < 0$$

Enthalpy change (for p = const.):

 $\Delta H = q = C_p \cdot \Delta T = C_p \cdot [T_2 - T_1] < 0$ 

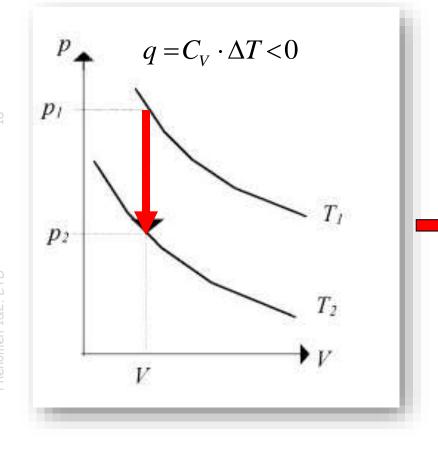
= emitted heat (internal energy)

$$\Delta U = q + w = (C_p - R) \cdot \Delta T \quad (> \Delta H)$$

Internal energy change

Inverse process: heating at constant p, e.g.,  $p=p_{atm}$ , leads to expansion,  $V_2 \rightarrow V_1 > V_2 \rightarrow drives piston out of its cylinder.$ 

Slow, reversible expansion under retention of equilibrium,  $S = S_{max}(T)$ 



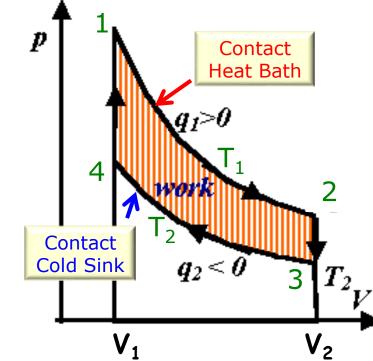
Work done on system w = 0But  $\Delta U < 0$ ,  $\rightarrow$  system emits heat  $q = C_V \cdot \Delta T = C_V \cdot [T_2 - T_1]$ 1. Law of Thermodynamics :  $\Delta U = q + w = q \rightarrow \Delta U = C_V \cdot [T_2 - T_1] < 0$ Enthalpy change  $\Delta H = \Delta U + \Delta (pV) = (C_V + R) \cdot \Delta T$  $= C_p \cdot [T_2 - T_1]$  (always  $\Delta H = C_p \cdot \Delta T$ )

 $\Delta H \neq q \text{ (since } p \neq const)$ 

Inverse process: heating at constant V, leads to increased temperature and pressure.

BUT

Observation: IG systems absorbing external (random) heat can produce mechanical work on surroundings (=engine). Continuous operation requires cyclic process (in p-V-T space).



In one cycle the gas absorbs net heat energy and does net work,

 $w = w_1 + w_2 = -q = C_v \cdot [T_2 - T_1]$ 

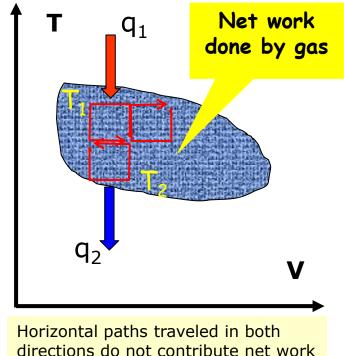
Not all absorbed heat is converted, some has to be dumped as waste heat.

- 1) Isothermal expansion at  $T_1$ =const.
- 2) Isochoric decompression at  $V_2$ =const.,
- 3) Isothermal compression at  $T_2$  = const.
- 4) Isochoric compression  $V_1$ =const.,

#### **Energy balance:**

1) gas does work	$w_1 = - q_1;$	<b>∆U</b> = <b>0</b>
2) gas emits heat	q < 0;	∆ <b>∪ &lt; 0</b>
3) gas receives work	w <sub>2</sub> = - q <sub>2</sub> ;	$\Delta U = 0$
4) gas absorbs heat	q > 0;	∆ <b>U &gt; 0</b>
Total energy change:	$\Delta U = 0$ (c	yclic)
Total work done:	$w = w_1 +$	w <sub>2</sub> < 0
Total heat absorbed:	$\mathbf{q} = \mathbf{q}_1 + \mathbf{q}_2$	=-w > 0

# Thermal Engines: Principle of Operation

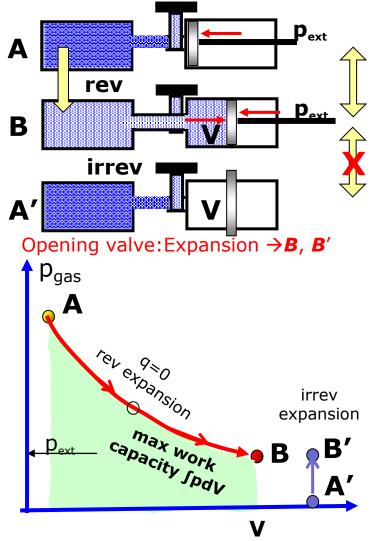


directions do not contribute net wo  $\rightarrow$  Area within closed p-V paths = total work done in cyclic process. Make an arbitrary cyclic process out of elementary isothermal and isochoric processes  $\rightarrow$ Heat energy q<sub>1</sub> is absorbed at a high temperature(s) T<sub>1</sub>, and partially dumped,  $|q_2| < |q_1|$ , at a lower temperature(s) T<sub>2</sub>.

The difference  $(q_1 + q_2) = q_1 - |q_2|$  is converted into useful work w < 0 done on surroundings by the gas.

Random heat energy is converted into orderly collective energy (work, pushing a piston, turning a wheel)  $!!!!!! \rightarrow$  Practical use

#### Work/Heat in Reversible vs. Irreversible Processes



System interacts with environment, is not isolated  $(\Delta T=0)$ .

In process A  $\rightarrow$  B, carried out so that system is always at equilibrium (e.g,  $p_{ext} dV = p_{gas}dV + q$ ), system produces maximum work. (balance by *including the -sign*, *sign convention*!):

$$\mathbf{w}_{rev} < \mathbf{w}_{irrev} \rightarrow |\mathbf{w}_{rev}| > |\mathbf{w}_{irrev}|$$

Where did the difference  $\Delta w$  go ? Nowhere! Also less/no heat absorbed on irreversible path.

1. Law TD, and since **U** is a state function,

If 
$$\Delta U_{A \rightarrow B} = \Delta U_{A' \rightarrow B} \rightarrow (q+w)_{rev} = (q+w)_{irrev}$$

$$w_{rev} < w_{irrev} \leftrightarrow q_{rev} > q_{irrev}$$

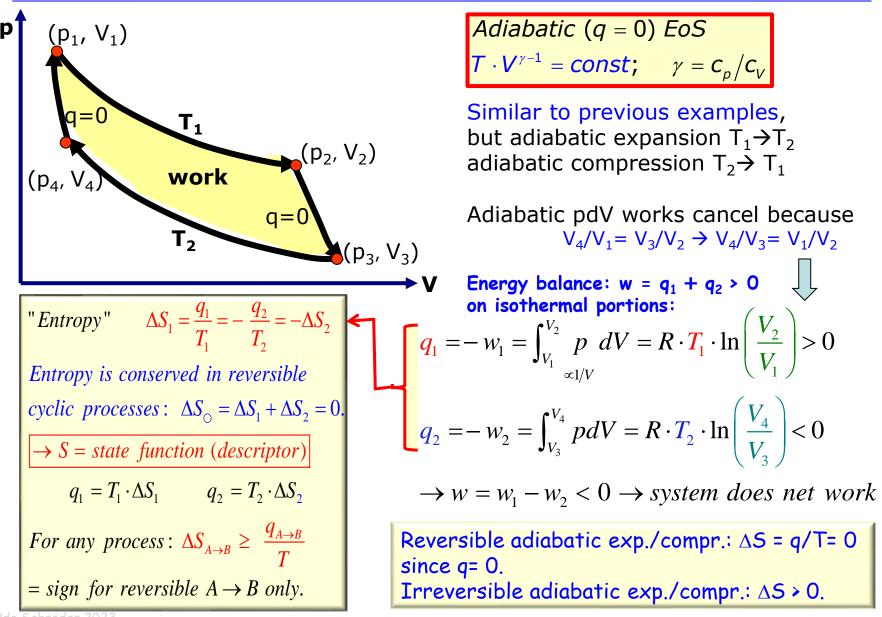
 $W_{rev}$  is largest amount deliverable (negative) reversibly  $\rightarrow$   $q_{rev}$  is largest amount of heat system can absorb reversibly and convert into work.

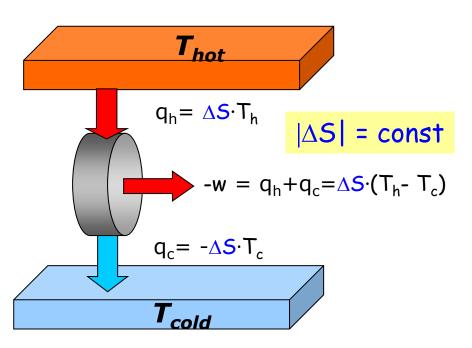
Irreversible, spontaneous processes: Less efficient conversion of absorbed heat into useful work.

 $\rightarrow$ 

W. Udo Schröder 202

# **Carnot Cycles**





Theoretical Carnot efficiency

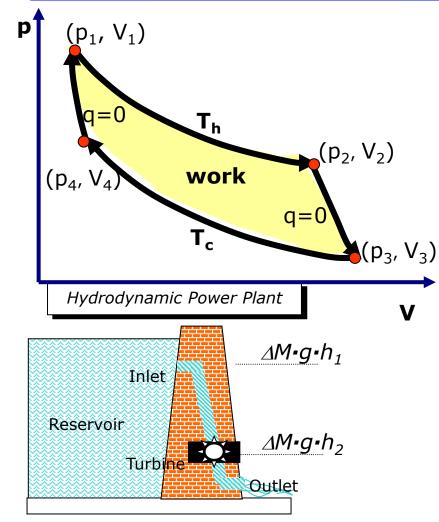
$$\mathcal{E}_{C} = \frac{-w}{q_{h}} = \frac{q_{h} + q_{c}}{q_{h}}$$
$$\mathcal{E}_{C} = 1 + \frac{q_{c}}{q_{h}} = 1 - \frac{T_{c}}{T_{h}} \xrightarrow{T_{h} \to \infty} 1$$

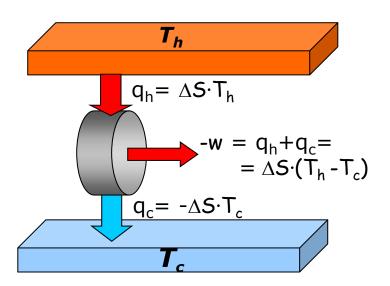
Typical Carnot cycle efficiency achieved:  $\varepsilon_C \approx (30-40)\%$ 

In practice,  $T_h$  of heat bath depends on fuel heating value (max temperature  $T_{ad}$ ). Transfer from fuel to heat reservoir:  $\varepsilon_F = (T_{ad} - T_h)/(T_{ad} - T_c)$ 

→ Effective Carnot efficiency: 
$$\varepsilon = \varepsilon_C \cdot \varepsilon_F = \left(1 - \frac{T_c}{T_h}\right) \cdot \left(\frac{T_{ad} - T_h}{T_{ad} - T_c}\right)$$

#### **Entropy Flow in Carnot Engines**



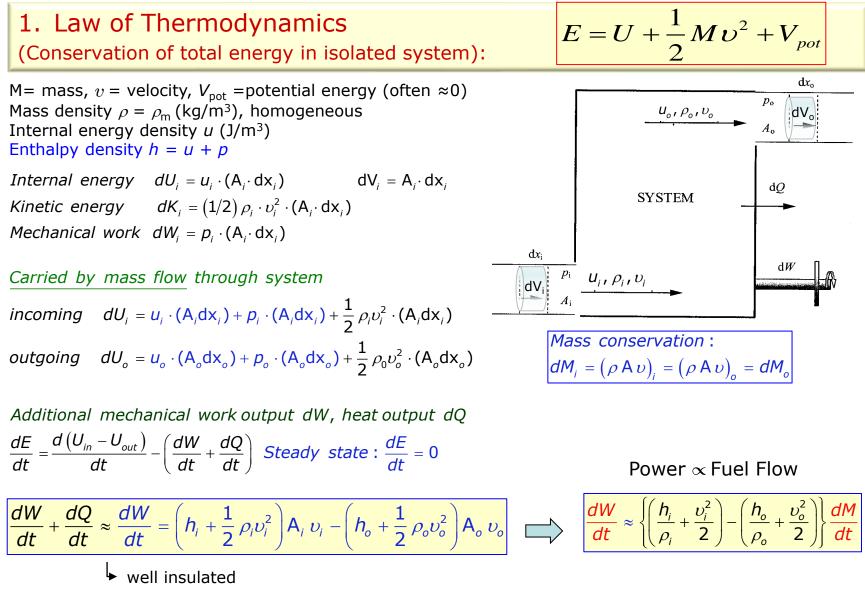


Entropy  $\Delta S$  from the hot reservoir enters the engine with a heat energy of  $\Delta S \cdot T_{h}$ ,

**produces work** and leaves it again with a heat energy of  $\Delta \mathbf{S} \cdot \mathbf{T}_{cr}$  which is dumped into the cold sink.

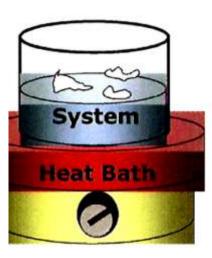
Analog: Stream of water  $\Delta M$  from a reservoir carries energy  $\Delta M \cdot g \cdot h_1$ , enters a hydroturbine, produces work, and leaves with an energy  $\Delta M \cdot g \cdot h_2$ , which is dumped into the river. Mass flow  $j_M \propto dM/dt$ . Entropy flow  $j_S \propto dS/dt$ 

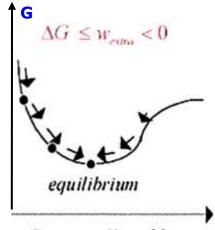
#### **Steady-Flow Processes**



22

## Spontaneous Reactions Require Free Energy





**Reaction Variable** 

System in contact with an external energy donor ("Heat Bath" @ T=const.). Bath can provide work-equivalent heat  $q_{surr}$  at no "cost" (T= const.) converted into **non-trivial (non-pV)** work

 $w_{total} = w_{pv} + w_{extra}$  (chemical rxn, melting, electric, ...)

Consider isothermal reaction  $1 \rightarrow 2$  at **T**, **p** = const.: When is  $\Delta S_{1\rightarrow 2} > 0$  (spontaneous) and produces  $w_{extra}$ ?

 $\left( \Delta S - \frac{q}{T} \right)_{1 \to 2} \ge 0 \ (= \ for \ reversible) \qquad q = \Delta U - w (:= w_{pl})$   $T \cdot \Delta S \ge \left[ \Delta U - w \right]_{1 \to 2} = \left[ U_2 - U_1 - \left( -p \cdot (V_2 - V_1) \right) \right] = H_2 - H_1$   $T \cdot \Delta S \ge \Delta H \quad \Rightarrow \quad \Delta G := \Delta \left( H - T \cdot S \right) \le 0 \quad \Delta G = 0 \ for \ reversible$ 

Thermodynamic PotentialsGibbs Free Energy $\mathbf{G} = \mathbf{H} - \mathbf{T} \cdot \mathbf{S}$  (p, T : const)Helmholtz Free Energy $\mathbf{F} = \mathbf{U} - \mathbf{T} \cdot \mathbf{S}$  (T : const)

$$\Delta G = \Delta (H - T \cdot S) = \Delta H - T \cdot \Delta S = (\Delta U + p \cdot \Delta V) - T \cdot \Delta S$$
$$= (q_{rev} + w_{pv} + w_{extra} + p \cdot \Delta V) - \underbrace{T \cdot \Delta S}_{\geq q_{rev}} \leq w_{extra} \leq 0$$

Maximum non-pV work system can do:  $W_{extra} = \Delta G < 0$ 

#### Thermodynamics: Phenomenology & Applications

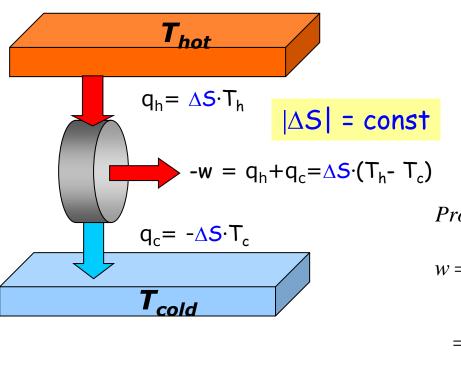
- Work and other forms of energy
- Fundamental Laws of Thermodynamics
- Ideal-gas laws and simple processes
   Technological applications, cyclic engines
   Carnot process
- Real gases equation of state
   Technological applications
- Phase equilibria, solutions
- Transport phenomena

Reading Assignments Weeks 12&13 LN V-VI:

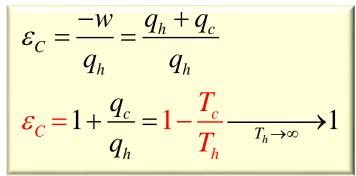
Kondepudi Ch. 3.5-3.7, 6 & 7 Additional Material

McQuarrie & Simon Ch. 5 & 6

## Efficiency of Carnot Engines



Theoretical Carnot efficiency



Process at p, T = const.  $\xrightarrow{U=const} q = \Delta H \rightarrow$   $w = -(T_h - T_c) \cdot \Delta S = -\left(\frac{T_h - T_c}{T_h}\right) T_h \cdot \Delta S$  $= -\left(\frac{T_h - T_c}{T_h}\right) \Delta H(T_h) = -\Delta (H - T \cdot S)$ 

In practice,  $T_h$  of heat bath depends on fuel heating value (max temperature  $T_{ad}$ ). Transfer from fuel to heat reservoir:  $\varepsilon_F = (T_{ad} - T_h)/(T_{ad} - T_c)$ 

→ Effective Carnot efficiency:  $\varepsilon = \varepsilon_C \cdot \varepsilon_F = \left(1 - \frac{T_c}{T_h}\right) \cdot \left(\frac{T_{ad} - T_h}{T_{ad} - T_c}\right)$